



State of Wisconsin
2021 - 2022 LEGISLATURE

LRBa0528/1
EKL:skw

**SENATE AMENDMENT 2,
TO SENATE SUBSTITUTE AMENDMENT 1,
TO SENATE BILL 160**

May 21, 2021 - Offered by Senator TESTIN.

1 At the locations indicated, amend the substitute amendment as follows:

2 **1.** Page 7, line 15: after that line insert:

3 “(bm) A licensee affiliated with a broker, as defined in 15 USC 78c (a) (4), or
4 dealer, as defined in 15 USC 78c (a) (5), that maintains an information security
5 program in compliance with the requirements of the financial industry regulatory
6 authority that address information security standards shall be considered to meet
7 the requirements of this subchapter, provided that the licensee produces, upon
8 request of the commissioner, documentation satisfactory to the commissioner that
9 independently validates the adoption by the affiliated broker or dealer of an
10 information security program that satisfies the financial industry regulatory
11 authority’s requirements.”.

12

(END)