STATE OF WISCONSIN DEPARTMENT OF TRANSPORTATION OFFICE OF THE SECRETARY PROPOSED ORDER AMENDING PERMANENT RULE

The Wisconsin Department of Transportation proposes an order to:

repeal ss. Trans **313.01**(note), **313.03**(14), (19), (25), (27)(a), (b), and (c), **313.04**(5)(e)1., (e)3. a., b., c., and d., **313.10**(4)(d), (d)(note), and (6)(b)(note), **313.11**, **313.13**(1)(c)(note); **renumber ss. 313.01**(title), and **313.10**(9);

renumber and amend ss. Trans **313.01**; **313.03**(8), (27)(intro); **313.04**(1), (5)(title), and (e)3.(intro), and **313.13**(2);

amend ss. Trans 313.01, 313.02, **313.03**(intro), (3), (7), (10), (17), (20), (21), (24), and (26), **313.04**(2)(a)(intro), 313.04(2)(b)(intro), 3., 4., 5., and 6., **313.04**(3), **313.04**(4)(a) and (b), **313.04**(5)(a), (c), (d), (d)3., and (e)2., **313.06**, **313.07**(2), (3), and (4), **313.10**(1)(a), (b)(intro), (b)1., (4), (5)(b), (c), (6)(b), (7), and (8), **313.12**, **313.13**(1)(intro), (3), and (4), **313.14**(1), and **313.15**;

repeal and recreate ss. Trans **313.03**(9), **313.04**(5)(b), **313.07**(1), **313.08**, **313.09**, **313.10**(2), and (3)(e), and **313.14**(2);

create ss. Trans **313.01**(title) and (3), **313.03**(3m), (4m), (6m), (14g), (14r), (16g), (16m), (16m)(note), (16r), (20m), (21m), (22m), (23m), (26m), (26m)(note), **313.04**(1)(b), (2)(a)6.(note), (2)(b)5.(note), 8., 9., 10., 11., 12., 13., 14., 15., 16., 17., 17.(note), 18., 19., and 20., (c), (3m), (4)(d), and (e), (5)(a)(note), (bm), (ce), (ce)(note), (cm), (cs), (d)2m., 3m., and 8., (dm), (dm) (note), (5)(e)(title), 3.(note), and 4., (f), (g), (h), (h)(note), (i), (j), (k), (L), (m), (n), (6), (6)(note), (7), and (8), **313.10**(1)(b)1.(note) and (c), (3)(e)(note), (9)(b), (10), (11), and (12), **313.105, 313.13**(2)(b) and (2)(b)(note), **313.14**(2)(note) and (3), **313.16** and **313.17**; relating to breath alcohol ignition interlock devices and the state ignition interlock program; and affecting small business.

The Statement of Scope for this Permanent Rule, SS 111-18, was approved by the Governor on November 7, 2018, published in Register No. 755A2 on November 12, 2018, and approved by Secretary of the State of Wisconsin Department of Transportation ("Department") Dave Ross, as required by s. 227.135 (2), Stats, on February 1, 2017. The analysis below was prepared by the Department.

ANALYSIS

Statutes Interpreted: Wis. Stat. ss. 110.10, 343.10(2)(f), 343.10(5)(a)3., 343.10(7)(cm), 343.10(8), 343.13(3), 343.301, 343.38(5), 347.413, and 940.09(1d).

Statutory Authority: Wis. Stat. ss. 110.10, 85.16(1), 227.10(1), 343.02, 343.06(1)(d) and (h), and 343.305(11).

Explanation of Agency Authority: Wis. Stat. s. 110.10 charges the Department of Transportation with the responsibility of promulgating rules for the implementation of an ignition interlock device program that will be conveniently available to persons throughout this state. The statute also mandates that the rules include provisions regarding all of the following:

- (1) Selecting persons to install, service and remove ignition interlock devices from motor vehicles.
- (2) Periodically reviewing the fees charged to a vehicle owner for the installation, service and removal of an ignition interlock device.
- (3) Requiring ignition interlock device providers operating in this state to establish pilot programs involving the voluntary use of ignition interlock devices.
- (4) Requiring ignition interlock device providers operating in this state to provide the department and law enforcement agencies designated by the department with installation, service, tampering and failure reports in a timely manner;
- (5) Requiring ignition interlock device providers operating in this state to accept, as payment in full for equipping a motor vehicle with an ignition interlock device and for maintaining the ignition interlock device, the amount ordered by the court under s. 343.301(3)(b), if applicable.
- (6) Requiring ignition interlock device providers to notify the department of any ignition interlock device tampering, circumvention, bypass or violation resets, including all relevant data recorded in the device's memory.

(7) Requiring the department, upon receiving notice from a device provider related to an event described in (6), to immediately provide the notice and data from that event to the assessment agency that is administering the violator's driver safety plan.

Wis. Stat. s. 85.16 provides authority for the department to make reasonable and uniform orders and rules deemed necessary to the discharge of the powers, duties and functions vested in the department. It also allows the department to prescribe forms for applications, notices and reports required by law to be made to the department or which are deemed necessary to the efficient discharge of all powers, duties and functions, and to prescribe the format and content of the forms and the mechanism or manner by which those applications, notices and reports may be filed or submitted to the department.

Wis. Stat. s. 227.10(1) requires all state agencies to promulgate as a rule each statement of general policy and each interpretation of a statute which it specifically adopts to govern its enforcement or administration of that statute. This rulemaking sets forth general policies and interpretations of statutes related to the ignition interlock program in this state.

Wis. Stat. s. 343.02 directs the department to administer and enforce chapter 343, Stats., and provides authority for the department to promulgate rules the secretary considers necessary for that purpose. Any rules promulgated may not conflict with and must be at least as stringent as standards set by the federal commercial motor vehicle safety act, 49 USC 31301 to 31317 and the regulations adopted under that act. The statute also documents that the state of Wisconsin assents to the provisions of those federal laws and regulations, and declares that the state will make provisions to implement and enforce those laws and regulations so as to ensure receipt by this state

of any federal highway aids that have been or may be allotted to the state under 23 USC 104 (b) (1), (2), (5) and (6), including all increased and advanced appropriations.

Related Statute or Rule: Section 343.301 establishes the basis for court-ordered installation of breath-alcohol ignition interlock devices ("BAIIDs") in Wisconsin. Courts are required to order a person's operating privilege restricted to operating BAIID-equipped vehicles if the driver refused chemical tests or is convicted of repeatedly operating while intoxicated or having an alcohol concentration of 0.15 or more as a first offender. s. 343.301(1g), Stats. Courts are also required to order the driver to install a BAIID on each of his or her vehicles, but can exclude vehicles such as motorcycles on which a device cannot currently be installed, or exclude vehicles due to the financial burden installation would cause the driver. s. 343.301(1g)(am) and (1m), Stats. The statute specifies that the BAIID operating restriction begins upon entry of the court order and length of time it remains effective. s. 343.301(2m), Stats. The statute also provides that the court may limit the expense to impoverished consumers to one-half the cost per day of installing and maintaining the device. s. 343.301(3)(b), Stats.

Section 343.10, Stats., and Ch. Trans 117, Wis. Admin. Code, which deal with the issuance of occupational licenses, are also related to this proposed rulemaking. Subdivision 343.10(5)(a)3., Stats., provides that if a court orders a driver's operating privilege restricted to "BAIID" equipped vehicles, any occupational license the department issues must include that restriction. s. 343.10(7)(cm), Stats., prohibits the department from issuing such an occupational license until the applicant proves that the motor vehicle the applicant will be permitted to operate has been equipped with a functioning BAIID.

Other licenses issued to drivers subject to a BAIID order also must contain a BAIID restriction. ss. 343.13(3) and 343.38(5), Stats.

Behavioral requirements related to BAIIDs and penalties for violations of those requirements are established in s. 347.413, Stats.

Plain Language Analysis: This rulemaking is intended to update Ch. Trans 313 to reflect changes in legislation, accommodate changes in ignition interlock design, features, performance, programming capability of, consider best practices recommended by the American Ignition Interlock Program Administrators (AIIPA) and the American Association of Motor Vehicle Administrators (AAMVA) as well as laws that have been implemented by neighboring states.

Chapter Trans 313 was first adopted in 1993, when installation of a breath alcohol ignition interlock device ("BAIID") was one of three vehicle-related "sanctions" available to courts when sentencing drivers convicted of repeat operated while intoxicated offenses. Operating privilege restrictions were limited to persons that were issued occupational licenses. In 1999, Wisconsin Act 109 added voluntary installation of an ignition interlock device as an alternative to vehicle immobilization.

The early semi-conductor-based BAIIDs were prone to interference from chemicals other than alcohol, had limited functionality, and could be easily bypassed. Over time, BAIID providers incorporated anti-circumvention sampling techniques to reduce the likelihood that a compressor or other air supply could be substituted for human breath. At that time, accessing the data stored in the device required removal of the handset from the vehicle and physically printing pages and pages of data that was not easily read or interpreted. At that time, there were no requirements to ensure a device was properly calibrated, reducing reliability of chemical analysis results. Early devices did not provide a means of determining who submitted a breath sample or who was operating the vehicle at the time the test was provided. Because 60 days or longer could pass between the time a sample was submitted and the time the results were retrieved from the device,

it was very difficult to determine who was responsible for the failed sample. Accordingly, the devices and reports from the devices provided limited value to law enforcement and treatment providers.

Modern BAIIDs make use of electrochemical fuel cells to measure alcohol. Unlike the early devices, these fuel-cell based BAIIDs do not identify other compounds as if they are alcohol. The internal workings of modern BAIIDs can incorporate technology that evaluates a sample to determine whether detected values for humidity, temperature, or the chemical composition of the sample are consistent with human breath. Those technologies make successful circumvention more challenging. Instead of multi-color lights and scrolling LEDs, modern devices have liquid crystal and full-color displays. Devices can now incorporate cellular, wi-fi, or other electronic communication technologies to upload data remotely for official use and storage in an online reporting system. Virtually all devices currently marketed in the U.S. have an option to mount a small camera in the vehicle, which has the advantage of allowing positive identification of the person submitting a breath sample or attempting to circumvent the BAIID.

In this rulemaking, the department proposes to update ch. Trans 313 to incorporate these technological advances and adopt best practices of consortium groups that result in more uniform device and reporting requirements nationwide. Each of the surrounding states, Minnesota, Iowa, Illinois, and Michigan have updated their rules and regulations to incorporate these improvements in recent years. While drafting the proposed rule, we incorporated many of these improvements, particularly in the areas of applications for approval, device function, and data reporting.

This rulemaking also proposes to amend s. Trans 313.01 to update statutory references in that section. The Wisconsin statutes related to BAIIDs in Wisconsin have been significantly changed since the Department promulgated Ch. Trans 313 in 1993.

The department proposes to update the definitions contained in s. Trans 313.03 to reflect changes in how ignition interlock devices function, current industry trends, to adopt industry-standard vocabulary, and to incorporate best practices recommended by the American Ignition Interlock Program Administrators (AIIPA) and the American Association of Motor Vehicle Administrators (AAMVA).

New definitions included in this section include 313.03(3m), which adds a definition for "alert mode" to address automotive technology advances. Older vehicles had standard headlights and horns that could be activated by direct electrical connection to the vehicle's lights and horn systems. Many vehicles today utilize a controller area network (CAN bus) through which microcontrollers regulate various vehicle components, including the horn. This modification eliminates traditional wiring for the horn, and greatly complicates the ability to use the horn as a signal that a driver has failed a required BAIID test while driving. The definition now permits use of systems other than the vehicle's built-in lights and horn to create flashing lights and sound in alert mode.

Other technological advances in vehicles, including those with motors that are powered by electricity, or a combination of fuel products and electricity, have resulted in changes to language to reflect engine or vehicle operation and are no longer limited to the traditional "ignition." For example, Trans 313.03(20) now defines "restart" to include activation of electric and hybrid vehicles as well as traditional combustion engine vehicles.

Section Trans 313.03(16g) is proposed to add a definition for "minimum sample acceptance criteria." Each manufacturer evaluates breath quality in order prevent circumvention of the device. This may include the capacity to monitor for volume, flow, temperature, humidity or any combination of those factors, or to chemically analyze the sample for consistency with the

chemical composition of human breath. Each breath sample is assessed to determine whether it is being provided by a human being and to evaluate the sample's alcohol concentration.

At the time the existing rule was written, a mechanical purge, literally pulling outside air through the device, was required. This has been replaced by automatic clearing and establishing baseline before notifying the user they can provide a breath sample. As a result, the definition for "purge," in s. Trans 313.03(19), is being deleted as unnecessary.

The existing rule definition for tampering in s. 313.03(9) considered *any* disruption of power to the device to qualify as prohibited tampering. Proposed revisions to that definition and the creation of s. Trans 313.04(5)(k) in this rulemaking would specifically allow removal of the handset when the vehicle is turned off, for vehicle repair and service, and for calibration of BAIID. Allowing the handset to be removed when the vehicle is turned off is beneficial in cold weather because it will allow the device to warm up and measure a breath sample more quickly than a device that has been stored in a cold car. It will also reduce battery drain required to preheat the device before measuring a sample in cold weather.

Additional new defined terms in proposed s. Trans 313.03(21m), 313.03(16r), 313.03(20m) and 313.03(23m) for "sample sequence," "passing breath sample," "result" and "start sequence" clarify various operations performed by the device and differentiate between functions used when starting a vehicle and those used when it is being operated.

The current rule assumes that manufacturers produce and market BAIIDs and that they employ service centers to install and service the devices and that they would apply for device approval in this state. This rule takes a broader view of the marketplace and attempts to allow for future development of the industry. Manufacturers produce and repair devices, and those devices must be approved by the department under this proposed rule. The department uses the term

"vendor" to identify the person that markets the device. Finally, a service center may install or provide limited service for a device, such as exchanging the handset, but may not open the device to service it. Any of these entities may apply to have a device approved for use in the State of Wisconsin. Definitions of "applicant", "vendor", "manufacturer", and "service provider" in amended s. Trans 313.03 reflect this approach.

While the existing rule requires each service center to be at a fixed location, changes to the definition of "service center" in this rulemaking also makes it clear that the state will allow service of BAIIDs by mobile service centers.

Industry standard and AIIPA standard vocabulary terms proposed for inclusion as defined terms in the rule are the definition for calibration in Trans 313.03(7), for "violation" in Trans 313.03(26m) and for "permanent lockout" Trans 313.03(17).

Under existing Ch. Trans 313, repeated failed breath alcohol tests can result in a "temporary lockout" that prevents anyone from attempting to start the vehicle for 15 minutes. The department has received numerous complaints regarding this requirement over the years, and it is not a requirement in most states. The department proposes in this rulemaking to eliminate the 15-minute temporary lockout requirement. The department believes the requirement is especially punitive for individuals that struggle to provide samples. A forced delay in starting the car may be problematic or dangerous in sub-zero temperatures. Finally, inadvertent consumption of small amounts of alcohol from a beverage, food, or personal hygiene product, will not result in a significant delay to the driver under the proposed amendments. A person should be able to rinse his or her mouth with fresh water, pass the chemical analysis test, and operate the vehicle. A BAIID will prevent vehicle operation when a subject's alcohol concentration is above the device's setpoint. The required delay does nothing to improve that performance.

Other changes or deletions in the definitions will align the chapter with court-ordered sanctions, to properly indicate the name of the unit that is responsible for approval of ignition interlock devices, delete a term that was not included in the chapter (filtering agents) and correct grammatical errors.

The requirements currently contained in section Trans 313.04 include sections on the application, a time for decision, the evaluation process, and standards and specifications. The proposed changes will more clearly define the application process, adopt the most recent federal model specifications, and, by reorganizing content, more clearly specify requirements for device function and reporting.

One area that has been problematic for the last decade has been ensuring that the operating system (software, firmware, configuration files, and device settings) has not been modified by the manufacturer. The proposed rule requires the device to provide its configuration information as part of its reporting function. This reporting will make it easier for service providers, law enforcement, and the department to track modifications made to the device.

Subsection Trans 313.04(1) is proposed to be split into two sections to ensure that any person distributing a device in this state does so with a compliant BAIID program. Accordingly, the proposed rule makes device approval personal to the applicant. If an applicant sells or transfers its business to a third party, that third party needs to re-apply for device approval in this state. Retesting of the device is not required, provided it is unchanged, but review of the new applicant's practices, procedures, and policies is undertaken so that the state can be satisfied that the devices will continue to be distributed and serviced in a manner conforming to the requirements of Wisconsin law by the new applicant.

Section Trans 313.04 describes the application process for approval of a BAIID in Wisconsin. This rulemaking proposes a number of changes to the rule consistent with best practice recommendations of both AAMVA and AIIPA. The application process as defined by the proposed rule, requires a standard set of materials be submitted as part of the application. These materials are used by the department to determine whether a proposed device meets the requirements contained in this chapter.

As proposed to be amended by this rulemaking, s. Trans 313.04 would require applicants for BAIID approval to provide various information as part of that application. Operating instructions, training materials, repair and quality assurance protocols, and maintenance and removal instructions are provided as part of the application to facilitate testing of the device consistent with its intended operation and maintenance procedures and to provide a basis for determining whether service providers for the device are following manufacturer-specified protocols in their service procedures. The department proposes to require program participants to submit copies of contracts or other agreements used between the program participant and its customer. Access to these documents and participant charges for device installation, use, and removal will enable the department to better respond to citizen questions and complaints. Furthermore, the department is requiring costs of device installation, use, removal, and all possible peripheral costs to be disclosed to the customer in the contract. This should reduce complaints from citizens about alleged unscrupulous practices by some providers. The department believes that transparency in contract provisions and fees should enhance competition in the BAIID marketplace.

Other materials required are proof that the device has met federal regulatory testing protocol requirements and authorization to obtain information from other states that have reviewed

a device. These materials will assist the department to identify deficiencies in device function and performance; correspondence with other regulatory agencies may streamline or expedite device evaluation and approval process. Evidence of an applicant's valid legal status to conduct business in this state and contact information for its registered agent is also required.

The BAIID device applicant must agree to provide testimony in court if its participation is needed for prosecution of a BAIID restricted driver who violates the laws related to installation or use of the device. State employees overseeing the interlock program would probably not qualify as expert witnesses under s. 907.02, Stats.

Finally, the proposed rule requires the applicant to promptly respond to questions or requests for information. The primary reason for this requirement is to keep an evaluation moving along as quickly as possible to reduce delay for other applicant devices waiting to be tested. To facilitate orderly processing of applications, the rule proposes to create a queue of pending applications and a procedure to promptly work through requests for approval in queue order. This should provide more predictability for applicants regarding the expected timeframe for department evaluation of a device.

The evaluation process may be the most important portion of the device approval process. Department staff verifies device performance, functionality, and reporting requirements contained in this chapter by testing one or more standalone units in a laboratory and then, after ensuring proper function, in a state-owned vehicle. The only way to successfully and uniformly conduct some of these tests is to deactivate settings that identify attempts to circumvent the device. This section specifies that the applicant must provide test devices with differing configurations to facilitate this testing. It requires that the department have immediate access to test data in order to speed up the evaluation process. In the event a device does not pass, s. Trans 313.04(4)(e) provides

for a 6-month waiting period before re-evaluation can be started. The department believes that period allows ample time for an applicant to address deficiencies in their device revealed in earlier testing. If the applicant is able to prove material changes have been made to the device, the department may waive the 6-month waiting period. This will hopefully result in an approval from the department at the following evaluation and provide an opportunity for the department to evaluate other instruments in the queue, if any.

The Chemical Testing Section has, occasionally, received devices for acceptance testing that were not adequately prepared and tested by an applicant prior to submission to the State. The 6-month default wait time is intended to provide an incentive to applicants to have software and hardware properly debugged and ready for deployment prior to submission for acceptance testing. Proper prior internal testing by the applicant should reduce delays in approving devices by the Chemical Testing Section.

The standards and specifications for ignition interlock approval by the department have been updated to incorporate the current (2013) NHTSA Model Specification and update the street address of the program. The current regulation incorporates 1992 Federal Standards that are far out of date.

Consistent with adopting industry standards, this proposed rule would allow a driver to attempt an unlimited number of tests within a specified timeframe. By not limiting the number of attempts our program will become consistent with industry standards and national trends and regional requirements. The current regulation allows maximum of three sample attempts in a five-minute interval, which has proven to be a problematic feature for device manufacturers to program into devices. This regulatory change will make Wisconsin's program consistent with those of our neighboring states as well as AIIPA and AAMVA best practices related to this issue.

Another industry standard proposed for adoption as a new requirement is to obtain confirmation samples for failed retests. This provides for a driver who appears to have submitted a failing sample with an opportunity to provide another sample to a verify alcohol concentration. These confirmation samples are especially helpful to distinguish between what is commonly referred to as false positive samples (an alcohol result from eating, drinking, or environmental contamination) and actual alcohol consumption. It is not uncommon for samples to be aborted if a user faces traffic that requires his or her full attention or some other event, such as sneezing, interrupts the sample process. Consistent with requirements in other states, the department proposes that devices not enter alert mode until a confirmation sample is obtained or refused.

The initial start sequence for a BAIID-equipped vehicle is proposed to be modified in similar fashion. Like retest samples, the user will be allowed an unlimited number of attempts to start the vehicle. This rule also proposes to permit or require devices to incorporate a number of technological advances that have been made in the past decade, such as wireless transmission of data, the use of vehicle-mounted cameras to identify the individual providing samples, and providing online access to uploaded interlock data. These proposed changes are all consistent with current industry standards and similar requirements of Wisconsin's neighboring states. Moreover, they will allow the Wisconsin program to meet the statutory requirements of s. 110.10(4) and (5), Stats. Ideally, access to the data will provide a mechanism for probation and parole agents, treatment officials and the courts, to provide better supervision of those in the program and a means to address noncompliance in a timely manner.

One area where Wisconsin's program would vary from minimum requirements under the federal regulations is that Wisconsin proposes to allow only alcohol-specific devices in this state.

Manufacturers that previously distributed semiconductor-based devices have withdrawn them

from BAIID use in this state and Wisconsin sees no benefit of allowing that outdated technology to return. Those old technology devices can falsely report alcohol when other compounds are present. In light of the increased value of reporting under the proposed new program, elimination of those old less-reliable devices is important.

This proposed rule updates requirements related to attempts to bypass the device, restarting the vehicle and tamper detection, consistent with current industry standards. It does require devices to correctly report the time at which such events occur.

Because Wis. Stat. s. 343.301 only calls for restriction of class D operating privileges of drivers, it has been possible for drivers subject to an BAIID restriction to legally operate motorcycles without the device. Nonetheless, consistent with now repealed federal law, s. 343.301 also required offenders to install the devices in all of their vehicles including motorcycles. The creation of s. 343.301(1m)(b) by 2019 Act 70 allow courts to refrain from ordering installation of BAIIDs on motorcycles until there is a practical way to accomplish that end. The department proposes to remove the option of installing a BAIID on a motorcycle. The department feels strongly that the use of a BAIID on a motorcycle is profoundly dangerous. If the driver were to use the BAIID while in motion, they must remove their hand from the handlebars which will adversely impact steering, breaking, and shifting capabilities. This would be further complicated by the use of full-face helmets which would require the driver to maneuver the device to their mouth through the helmet and face shield. Additionally, if the motorcyclist were to pull over, they would likely have to navigate un-paved or debris covered shoulders without dropping the bike while their attention is divided by the BAIID requesting a sample. Once stopped, the motorcyclist would represent a potential roadway target that other drivers may strike.

Proposed s. Trans 313.04(6) describes the department's proposed mechanism for implementing the requirements of data collection and reporting set forth in s. 110.10(4), Stats. The department proposes to initially leverage current industry data collection and reporting systems in order to provide data access to persons involved in the OWI enforcement and treatment processes such as courts, police agencies, corrections, probation and parole agents, assessment agencies, treatment providers, the department, and academic researchers. Whether uploaded at a service appointment or wirelessly transmitted, data is stored in the manufacturer or vendor's data system, who may grant permission to access the data to authorized officials. The regulation also provides an opportunity for development of a more centralized data warehouse to facilitate access to the data. If such a system is developed, BAIID providers would be required to transmit data to that warehouse but would not be required to provide online access to data any longer.

Provisions of the rule related to the possible denial, suspension, or revocation of device approval are updated in this rulemaking. Violation of Ch. Trans 313 provisions has always been a basis for such action; this proposed rulemaking would add violation of any state statute as a basis for denial, suspension, or revocation of device approval. Other bases for denial, suspension, or revocation of device approval include:

- Failing to provide service in an assigned service area.
- Failing to provide notice of service center location changes or discontinuance.
- Failing to maintain an inventory of parts needed to perform needed repairs to devices.
- Failing to provide a device at the cost required under s. 343.301(3)(b), Stats.

Section 110.10(intro), Stats., requires the department to make the BAIID program "conveniently available to persons throughout this state." Proposed s. Trans 313.09 of this rulemaking would accomplish that statutory requirement adopting a methodology used by neighboring states. If there are geographical areas where service is not provided, an authorized vendor will be selected at random to provide service in that area. A BAIID provider cannot be selected more than once until all providers have been assigned a service area at least once. The rule will allow service to be provided by a mobile unit. Failure to provide service as required is therefore included in the list of offenses that can lead to revocation or suspension of device approval.

For approval for use in Wisconsin, the manufacturer must provide evidence of a sufficient system for maintaining the devices. If the devices become unrepairable due to the passage of time, or are being replaced by newer models, authorization for the old or unrepairable device may be revoked.

Section 343.301(3)(b), Stats., sets requirements BAIID providers must meet with respect to providing service to lower income individuals. The proposed rule requires compliance with the statute as a condition of device being eligible for use in Wisconsin, allowing denial of approval or revocation or suspension of approval if a provider does not comply with the law. The proposed rule also requires the provider of an authorized BAIID to pay the costs related to removal and replacement of any device for which authorization is revoked or suspended.

This rulemaking proposes to update communication requirements between device manufacturers and the department consistent with requirements of Iowa, Illinois and Michigan law. Material modifications made to approved devices need to be communicated to the department. If the manufacturer seeks to avoid departmental retesting of the modified device, submission of

test data substantiating that the modifications do not materially affect device performance is required.

Proposed subsection Trans 313.04(7) makes clear that only a manufacturer or its authorized repair agents may repair the internal components of any device. Section Trans 343.10 is updated to reflect the duties expected of service providers by manufacturers today.

Service providers inspect devices for tampering or circumvention, calibrate devices, exchange components as needed, and maintain the tools and devices needed to carry out those tasks. They do not open device components unless they are a manufacturer-authorized device repair facility. Service providers are required to retain evidence and notify the department if they observe indications of tampering or circumvention while servicing a device. Service providers give instruction on operation of the device and make sure the customer knows how to and can start their vehicle using the BAIID as part of their device installation protocol. Service providers are not required to install devices on commercial motor vehicles.

Proposed section Trans 313.10(11) would authorize service providers to meet customer needs with mobile service centers. It does require mobile service centers to keep the department apprised of their operations so that the department can audit and review the mobile service center's operation. The department has included proposed language allowing for emergency service by mobile service centers without advance notice to the department.

Section 347.413, Stats. provides that "No person may remove, disconnect, tamper with, or otherwise circumvent the operation of an ignition interlock device." In s. Trans 313.14, the department makes clear that it interprets this provision as applying to unauthorized removal of the device. The rule allows customers to request a service provider remove a device in order to obtain a different device. It also allows service providers to remove devices of persons who intentionally

damage a device, who don't pay for it or otherwise fail to comply with their contract, or whose behavior is offensive or abusive.

Finally, proposed s. Trans 313.16 outlines other conduct that is prohibited as part of a BAIID program. Persons may not discriminate in providing service, cannot change the breath volume required for a sample to less than 1.5 liters of air, change the device programming, configuration, or components of a device, repair a device without manufacturer authorization, or provide information to the customer that results in a lockout and requires the customer to pay fees to service the device.

Summary of, and Comparison with, Existing or Proposed Federal Statutes and Regulations:

The United States Department of Transportation, National Highway Traffic Safety Administration (NHTSA) adopted and published Model Specifications for Breath Alcohol Ignition Interlock Devices ("BAIID") in 1992. (57 FR 11772) Federally provided model specifications were meant to standardize BAIID certification requirements across jurisdictions. Included in the model specifications document are requirements for device functionality, measurement accuracy and precision including extreme temperature performance, calibration stability, and rolling retesting features. Although many states incorporated NHTSA's model specifications into their BAIID certification requirements, significant variability remained. Trans 313 was drafted in 1993 and incorporated requirements from NHTSA's 1992 Model Specifications for BAIIDs

Prompted by widespread requirement variability across jurisdictions and rapidly evolving BAIID technology, NHTSA updated the Model Specifications document, soliciting comments in 2006 and 2010. (71 FR 8048 and 75 FR 61824, respectively). In 2013, NHTSA adopted and published their Model Specifications for BAIIDs. NHTSA's 2013 Model Specifications for BAIIDs includes changes to device functionality, test procedures, and performance requirements.

(78 FR 26853) This revision of Trans 313 proposes to adopt NHTSAs 2013 Model Specifications for BAIIDs.

Comparison with Rules in Adjacent States:

Illinois, Iowa, Michigan, and Minnesota all have ignition interlock device programs similar to Wisconsin's. Links to relevant laws, regulations or program materials are provided below and summarized in the table that follows.

Illinois:

- Section 1001.441 Procedures for Breath Alcohol Ignition Interlock Device
 Conditioned RDPs
 http://www.ilga.gov/commission/jcar/admincode/092/092010010D04410R.html.
- Section 1001.442 BAIID Provider Certification Procedures and Responsibilities;
 Certification Procedures and Responsibilities; Certification of BAIIDs; Inspections;
 BAIID Installer's Responsibilities; Decertification of a BAIID Provider
 http://www.ilga.gov/commission/jcar/admincode/092/092010010D04420R.html.
- Section 1001.443 Breath Alcohol Ignition Interlock Device Multiple Offender –
 Compliance with Interlock Program
 http://www.ilga.gov/commission/jcar/admincode/092/092010010D04430R.html.

Iowa:

Chapter 158: Ignition Interlock Devices
 https://breathalcohol.iowa.gov/files/661.158.pdf.

Michigan:

Michigan Vehicle Code Act 300 of 1949, 257.625k Ignition interlock device
 manufacturer; certification; approval; requirements; rules; cost; notice to department

by certifying laboratory; list of manufacturers; BAIID manufacturer; approval of BAIID service center; inspections; prohibited conduct by individual; installation requirements; installer qualifications and requirements; approval; renewal.

http://www.legislature.mi.gov/(S(jcivly55zk2h4yi0itwrkqn4))/documents/mcl/pdf/mcl-257-625k.pdf.

- Michigan Vehicle Code Act 300 of 1949, 257.625l Ignition interlock device; warning label; prohibited conduct; violation as misdemeanor; penalty; impoundment of motor vehicle.
 - http://www.legislature.mi.gov/(S(uu2x4xuy2fvx1bguz3xbwghh))/documents/mcl/pdf/mcl-257-625l.pdf.
- Michigan Vehicle Code Act 300 of 1949, 257.625m Operation of commercial motor vehicle by person with certain alcohol content; arrest without warrant; violation as misdemeanor or felony; sentence; suspension of term prohibited; prior conviction.
 http://www.legislature.mi.gov/(S(uu2x4xuy2fvx1bguz3xbwghh))/documents/mcl/pdf/mcl-257-625m.pdf.
- Michigan Vehicle Code Act 300 of 1949, 257.6250 Ignition interlock device; sale, lease, or installation in vehicle; surety bond.
 http://www.legislature.mi.gov/(S(uu2x4xuy2fvx1bguz3xbwghh))/documents/mcl/pdf/mcl-257-6250.pdf.
- Michigan Vehicle Code Act 300 of 1949, 257.625q Compliance with MCL 257.625k;
 investigation and determination by secretary of state; decertification of installer;
 notice to manufacturer; violation; penalty; suspension or revocation of manufacturer
 certification; removal from list of approved certified BAIIDs; summary suspension or

revocation; hearing; rules.

http://www.legislature.mi.gov/(S(uu2x4xuy2fvx1bguz3xbwghh))/documents/mcl/pdf/mcl-257-625q.pdf.

Minnesota:

- Minnesota Administrative Rules 7503.1650 Ignition Interlock Device Program;
 Qualification https://www.revisor.mn.gov/rules/7503.1650/.
- Minnesota Administrative Rules 7503.1675 Ignition Interlock Device Program;
 Termination https://www.revisor.mn.gov/rules/7503.1675.
- Minnesota Administrative Rules 7503.1725 Ignition Interlock Device Program;
 Rehabilitation https://www.revisor.mn.gov/rules/7503.1725.

Minnesota Administrative Rules 7503.1775 Employment Exemption https://www.revisor.mn.gov/rules/7503.1775.

A side-by-side comparison of program requirements for Wisconsin and its neighboring states is provided on the following table:

Item	Illinois	lowa	Michigan	Minnesota	
Overview					
Annual Recertification	Required		Required	Required	
Calibration & Download	67 days	real time or 67 days		30 days or real time 67 days	
Camera	Required	Required	Required	Required	
Days to lockout	5	5		5	
Fee	\$30 per month				
GPS				If court ordered	
Install on CMV	Not Allowed			Not Allowed	
Install on motorcycle	Not Allowed			Not Allowed	
Medical Exemption	Yes, with rules			Yes, with rules	
Oversight Department	Secretary of State	Department of Public Safety	Secretary of State	Driver and Vehicle Services	
Real-time reporting	Allowed	Allowed *if used with fuel cell and pass additional testing, can		Allowed	

Item	Illinois	Iowa	Michigan	Minnesota	
		calibrate every			
		187 days			
Reciprocity	Yes, with rules			Yes, with rules	
Report logs to state	Within 7 days	As requested, 30 days tamper, 10 days missed calibration			
Set-point	0.025	0.025	0.025	0.02	
Work Exemption	Yes, with rules			Yes, with rules	
Application Requirements					
Item	Illinois	lowa	Michigan	Minnesota	
Anti-Circumvention	Required, blow pattern or hum				
BAIID Installer Certification			Required		
Data format	State decides				
Dead Zone	75 miles		50 miles		
Fee Schedule reported	Required				
Indemnify State	Required		Required		
Indigent	Required				
Journal	Required		Suggested		
Liability Insurance	Required	Required	Required		
List where operate(d)	Required	List where revoked			
Maintain customer service number	Monday - Friday, normal business hours	24/7/365			
Mobile Install	Allowed with rules				
NHTSA Tests	2013	1992	2013	2013	
Provide State Staff Training	> 10 hours				
Provide Testimony	Required				
Purge before sample		Required			
Record Retention	3 years after removal	5 years after removal			
Rectify malfunctioning device	48 hours				
Report (de)install to state	7 days				
Send in part of BAIID for calibration/download	allowed				
Service Center certification		Required	Required		

Item	Illinois	lowa	Michigan	Minnesota	
Share all documents (P&P, QC, contract, etc.)	Required				
Soft/firm-ware modifications	Pre-approved	Pre-approved	Pre-approved		
Technology Requirements	Not Solid-State	Fuel Cell			
Functionality					
Item	Illinois	Iowa	Michigan	Minnesota	
Calibration Window	30 days 1st, 67 days thereafter	67 days or more frequently			
IRT	5-15 min	10 min	15 min	5-7 min	
RRT	2 per hour within 45 minutes of IRT	2 per hour	15-45 min	15-45 min	
Sample window			5 min, multiple attempts	10 min	
Free Restart		Yes, unless in RT or failed	Yes, unless failed		
	didn't provide RT sample	>0.025	>0.025		
Horn and/or light	>0.05				
	tamper				
	circumvent				
	3 > 0.05 30 min	didn't provide RT sample			
	tamper	RT > 0.025			
Lockout	circumvent	1 violation			
LOCKOUT	calibration due				
	5 violations 60 days				
	inspection due				
Violation	>10 start fails	fail to complete RT	>0.025	fail start, 5 min lockout, 10 min to provide pass	
	fail to complete RT	>0.025	3 start fails (minor)	fail to pass RT	
	> 0.05 *	bypass	miss calibration (minor)	refuse RT 3x in 7 days	
	Tamper *	tamper	fail to complete RT	Tamper	
	Circumvent *		OWI arrest	Circumvention	
	Miss Calibration		Tampering	Operate without BAIID	
	Operate without BAIID *		Circumvention	≥0.02	
	BAIID removed *		3 minor violations	Obstruct image capture	

Item	Illinois	lowa	Michigan	Minnesota
	OWI or alcohol- related charge *			If cancelled
			Remove Device	license, failure to
				provide 30 start
				samples a month
			Operate without	
			BAIID	
Penalty	* = revocation		Minor = 3-month	1st = 180-day
			extension	extension
	1st = 3-month		Major =	2nd = 1-year
	suspension		revocation	extension
	2nd = 6-month			3rd + = 545-day
	suspension			extension
	3rd + = 12-month			
	suspension			

Summary of the Factual Data and Analytical Methodologies:

Section 110.10, Stats., charges the department with responsibility for implementing a breath alcohol ignition interlock device (BAIID) program that will be conveniently available to persons throughout the state. The statute requires that the program include approval criteria for BAIID installation, service, and removal, provisions for reporting BAIID installation, use, and a periodic review of fees customers are charged. It also requires that BAIIDs be available to customers without a court order and that courts may order a lower fee structure for those determined to be indigent. The statute directs the department to adopt rules that implement the statute. The decision to promulgate rules in this environment is therefore legislatively directed.

The basic goal of an BAIID program is to improve traffic safety through clearly developed standards that outline the establishment of, participation in, and compliance with the program as well as device functionality requirements. Four main issue areas make up the program: device functionality requirements, provider oversight, participant oversight, and a standardized reporting process. Coleman, Angela, et. al.: *Ignition Interlock Program Best Practices Guide*, American Association of Motor Vehicle Administrators (2018).

While installed, BAIIDs reduce recidivism and improve traffic safety. Kaufman, Elinore and Wiebe, Douglas: *Impact of State Ignition Interlock Laws on Alcohol-Involved Crash Deaths in the United States*, American Journal of Public Health (2016); Teoh, Eric, et. al.: *State Alcohol Ignition Interlock Laws and Fatal Crashes*, Insurance Institute for Highway Safety (2018); Fleming, Susan: *Alcohol Ignition Interlocks Are Effective While Installed; Less is Known About How to Increase Installation Rates*, United States Government Accountability Office (2014). BAIIDs are designed to prevent a driver from operating a vehicle when the driver's breath alcohol concentration is at or above a prohibited alcohol concentration (PAC). BAIIDs also require drivers to provide breath samples periodically while operating the vehicle to ensure that their breath alcohol concentration remains below the PAC. Pre-approval of devices and oversight of their providers ensures consistent BAIID functionality and administration throughout the state. Ciccel, De Carlo: *Model Specifications for Breath Alcohol Ignition Interlock Devices (BAIIDs)*, National Highway Traffic Safety Administration (2013). The department has administered the BAIID program since the 1990's and found it to be effective.

Analysis Regarding Rule's Effect on Small Businesses:

The department has polled companies that manufacture devices currently approved in Wisconsin to determine what modifications or costs they expect would be associated this proposed rulemaking and that would affect small businesses, such as independent service providers. The department has relied on their expertise in preparing this small business analysis.

Effect on Small Business:

The proposed rule would require BAIIDs installed in Wisconsin to include features not currently required in this state, such as a camera and communications technology. Local service centers would be required to train their installers and service professionals on how to install and

service these new features. The businesses would need to make modifications to their installation

procedures to implement installation of devices with these new features. The department expects

this would have a one-time effect on small businesses that act as service providers.

Because the proposed rule would change many of the Wisconsin program's requirements

and procedures, the department expects all persons involved in the ignition interlock program

including manufacturers, service providers, police, assessment and treatment agencies, courts and

department personnel will need to learn about the program changes that result from

implementation of any rulemaking related to ignition interlocks.

Agency Contact Person:

Diane Brockley-Drinkman Chemical Test Section

3502 Kinsman Blvd,

Madison 53704-2549

Phone: 608-243-2952

E-mail: DOTAdminRules@dot.wi.gov

Place Where Comments Should be Submitted, and Deadline: Comments may be submitted to

the agency contact person listed above, or through the Legislature's Administrative Rules website

http://docs.legis.wisconsin.gov/code until the deadline given in the forthcoming notice of public

hearing.

TEXT OF PERMANENT RULE

SECTION 1. Trans 313.01 and 313.01 (title) are renumbered Trans 313.01(1) and Trans

313.01(1)(title) and as renumbered, s. Trans 313.01 is amended to read:

Trans 313.01(1) Purpose. The purpose of this chapter is to establish specifications and

requirements of court ordered ignition interlock devices and their calibration, installation and

maintenance as required by s. 347.413 (2), Stats. implement the Wisconsin ignition interlock

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program consistent with the mandate of s. 110.10, Stats., and consistent with the provisions of ss. 343.10, 343.13(3), 343.301, 343.38(5), 347.413, 940.09(1d), and 940.25(1d), Stats.

SECTION 1g. Trans 313.01 (title) is created to read:

Trans 313.01 (title) General.

SECTION 1m. Trans 313.03(8) is renumbered 313.01(2) and amended to read:

Trans 313.01(2) Responsibility. "Chief The chief of the chemical test testing section" means is the person assigned the responsibility for the administration and supervision of the breath alcohol testing, approval and permit program of the department.

SECTION 1r. Trans 313.01(3) is created to read:

Trans 313.01(3) Rules of Construction. The rules of construction set for in s. 990.001, Stats., are incorporated herein and apply in this chapter.

SECTION 2. Trans 313.01 (note) is repealed.

SECTION 3. Trans 313.02 is amended to read:

Trans 313.02 Applicability. This chapter applies to all law enforcement agencies, manufacturers, vendors, service providers, manufacturer-approved authorized service centers and other persons engaged in court ordered-ignition interlock device program administration in this state.

SECTION 3m. Trans 313.03 (intro) and Trans 313.03(3) are amended to read:

Trans 313.03 (intro). The words and phrases defined in ss. 990.01, 110.01 and chs. 340-349, Stats. have the same meaning in this chapter unless a different meaning is expressly provided, or the context clearly indicates a different meaning. As used in this chapter:

Trans 313.03(3) "Alcohol setpoint" means the minimum a breath alcohol concentration at which a device is set to lock a motor vehicle's ignition of 0.020 grams of alcohol per 210 liters of breath.

SECTION 4. Trans 313.03 (3m), (4m), and (6m) are created to read:

Trans 313.03(3m) "Alert mode" means that the device causes the horn or other pronounced emergency signal audible to other drivers and law enforcement to sound, and the vehicle's emergency lights or visible secondary visual signals apparent to other drivers and law enforcement to flash.

Trans 313.03(4m) "Applicant" means any person seeking the approval of a device by going through the device approval procedure described in s. Trans 313.04.

Trans 313.03(6m) "Business day" means a weekday that is not a legal holiday.

SECTION 6. Trans 313.03 (7) is amended to read:

Trans 313.03(7) "Calibration" means the processes which process of testing and adjusting a device to ensure an accurate the device is accurately measuring the alcohol concentration reading on a of a breath sample provided to the device.

SECTION 8. Trans 313.03 (9) is repealed and recreated to read:

Trans 313.03(9) "Circumvention" means any action taken by a person to prevent a device from performing all of its intended functions, except disconnection of the device from its power source during vehicle or device service, or disconnecting a handset as authorized under s. Trans 313.04(5)(k). Circumvention includes any of the following:

- (a) Providing bogus samples.
- (b) Tampering.

- (c) Providing air samples that enable a driver with a prohibited alcohol concentration to start, drive, or operate a vehicle equipped with a device.
- (d) Failing to complete any required service.
- (e) Tampering with, destructing, or taking any action that results in a camera not providing pictures of the driver providing a sample to the device or driving a vehicle.
- (f) Tampering or interfering with data transfer from or to the device.

SECTION 9. Trans 313.03 (10) is amended to read:

Trans 313.03(10) "Customer" means a person who is required by court order to have has a device installed in a vehicle.

SECTION 10. Trans 313.03 (14) is repealed.

SECTION 11. Trans 313.03 (14g), (14r), (16g), (16m), (16m)(note), and (16r) are created to read:

Trans 313.03(14g) "Fixed, permanent service center" means a facility in a permanent building at which device services can be provided.

Trans 313.03 (14r) "Handset" means the portion of the device where the sample is introduced and analyzed.

Trans 313.03(16g) "Minimum sample acceptance criteria" means the criteria established by the manufacturer for detection of whether a given breath sample is deep lung air provided by a human being, such as sample flow, volume, temperature, humidity, composition, and whether the sample passed any anti-circumvention measures adopted by the manufacturer.

Trans 313.03(16m) "Mobile service center" means a service center that operates out of a motor vehicle or trailer.

Note: Mobile service centers typically drive to locations at which they are able to provide convenient service to customers.

Trans 313.03(16r) "Passing breath sample" means a breath sample below a device's alcohol setpoint and that meets all minimum sample acceptance criteria for that device.

SECTION 15. Trans 313.03 (17) is amended to read:

Trans 313.03(17) "Permanent lockout" means a feature of a condition in which a device in which a vehicle will not start initiate a start sequence until the device is reset by a service provider except as provided in s. Trans 313.04(5)(i).

SECTION 16. Trans 313.03 (19) is repealed.

SECTION 17. Trans 313.03 (20) is amended to read:

Trans 313.03(20) "Restart" means a feature of a device in which a vehicle is successfully started or operated and, when the engine <u>or electric propulsion system</u> stops for any reason, including stalling, the <u>engine vehicle</u> may be restarted within a 2-minute period, without requiring any additional breath samples.

SECTION 18. Trans 313.03 (20m) is created to read:

Trans 313.03(20m) "Result" means a piece of data that categorizes whether a breath sample is provided to the device and, if provided, whether it meets the device's minimum sample acceptance criteria for breath quality and alcohol concentration.

SECTION 19. Trans 313.03 (21) is amended to read:

Trans 313.03(21) "Retest" means a feature of a device which will require the driver to provide a subsequent breath sample 5 minutes after the engine first starts and additional breath samples at random intervals of 5 to 30 minutes thereafter, while the engine is running sample sequences to be provided while the vehicle is operable.

SECTION 20. Trans 313.03 (21m), (22m), and(23m) are created to read:

Trans 313.03(21m) "Sample sequence" means a list of device-related events beginning with the time a device requests a sample until and including the time a result is recorded and displayed. Device-related events include requests for tests, failure to provide a breath sample or adequate breath sample, measurements made by the device, images taken, and the final result of the sequence.

Trans 313.03(22m) "Service center" means a location at which service is provided to install, inspect, repair, replace, remove or calibrate devices. The term includes fixed, permanent service centers and mobile service centers.

Trans 313.03(23m) "Start sequence" means the sample sequence initiated when the vehicle is not in operation.

SECTION 23. Trans 313.03 (24) is amended to read:

Trans 313.03(24) "Tampering" means an unauthorized, intentional overt act or attempt to remove, bypass, <u>adjust</u>, <u>alter the settings of</u>, <u>or disable a device</u> or <u>to disconnect a device from its power source</u>. <u>Tampering does not include disconnecting the device from its power source during vehicle or device service</u>, nor disconnection of a handset as authorized under s. Trans 313.04(5)(k).

SECTION 24. Trans 313.03 (25) is repealed and recreated to read:

Trans 313.03 (25) "Vehicle module" means the part of a device that houses the components that interface with a vehicle.

SECTION 25. Trans 313.03 (26) is amended to read:

Trans 313.03(26) "Vendor" means an approved a retail or wholesale supplier of an approved device, and may include a service provider.

SECTION 26. Trans 313.03 (26m) and (26m) (note) are created to read:

Trans 313.03(26m) "Violation" means one or more of the following:

- (a) A breath sample that is above the alcohol setpoint.
- (b) Failure to provide a passing breath sample within the 5-minute retest window.

Note: Violation does not include disconnecting the device from its power source during vehicle or device service, nor disconnection of a handset as authorized under s. Trans 313.04(5)(k). SECTION 28. Trans 313.03 (27) (intro) is renumbered Trans 313.03 (27) and amended to read:

Trans 313.03 (27) "Violations Violation reset" means a feature of a device in which a service reminder is activated due to one of the following reasons: that a device enters a status in which a reminder is provided to the device user that the device will enter permanent lockout mode unless taken to a service center by the 7th day following the device entering violation reset status.

SECTION 28m. Trans 313.03 (27)(a) to (c) are repealed.

SECTION 29. Trans 313.04 (1) is renumbered Trans 313.04(1)(a) and amended to read:

Trans 313.04 (1) APPROVAL REQUIREMENT.

(a) No device may be leased, sold, serviced, repaired, installed or used unless the model or type of device has and any system firmware or software used on the device have been approved by the department in accordance with the requirements of this chapter. Approval may be granted by testing the functionality of the device with the proposed changes.

SECTION 30. Trans 313.04 (1) (b) is created to read:

Trans 313.04 (1) (b) Device approval is personal to an applicant and cannot be assigned or transferred. Upon sale of production rights to a device, change in ownership of a manufacturer or vendor, or other change resulting in a new person seeking to distribute devices in this state, the new person must apply for device approval. The department may waive some or all of the testing

of the device portion of the approval process if the new applicant is able to demonstrate that no substantive changes to the device have been made since the prior approval.

SECTION 31. Trans 313.04 (2) (a) (intro) is amended to read:

Trans 313.04 (2) (a) (intro) A manufacturer An applicant may apply for approval of a device by submitting a written application to the department in the manner prescribed in this chapter on the department's form, and shall certify that the device:

SECTION 31m. Trans 313.04 (2) (a) 6. (note) is created to read:

Trans 313.04 (2) (a) 6. (note) The application form SP4010 may be obtained by sending an email request to BAIID@dot.wi.gov or via U.S. Mail at Chemical Testing Section, 3502 Kinsman Blvd., Madison, WI, 53704.

SECTION 32. Trans 313.04 (2) (b) (intro), (2) (b) 3., (2) (b) 4., and (2) (b) 5. are amended to read:

Trans 313.04 (2) (b) (intro) An application for certification The applicant shall include all of the following information in an application for device approval:

Trans 313.04 (2) (b) 3. A detailed description of the device including complete instructions for installation, operation, service, repair and removal, including all documents used as training materials or manuals for installation, maintenance or removal of the device at service centers.

Trans 313.04 (2) (b) 4. Complete <u>description of device functionality including</u> technical specifications describing the device's accuracy, reliability, security, data collection and recording, tamper detection, <u>alert mode</u> and environmental features.

Trans 313.04 (2) (b) 5. A complete and accurate copy of data from an independent laboratory demonstrating report issued to the applicant substantiating that the device meets or exceeds the minimum federal standards adopted in sub. (5). The report shall be submitted with a

notarized certification from the independent laboratory and shall include copies of the laboratory's certificates of accreditation.

SECTION 36. Trans 313.04 (2) (b) 5. (note) is created to read:

Trans 313.04 (2) (b) 5. (note) Note: Wisconsin requires devices to maintain calibration stability over a period of at least 67 days so that the devices may be relied upon as accurate from service date to service date.

SECTION 37. Trans 313.04 (2) (b) 6. is amended to read:

Trans 313.04 (2) (b) 6. A description of the manufacturer's applicant's present or planned provisions for distribution and service of the device in Wisconsin. The manufacturer applicant shall, within 6 months of receipt by the department of an application for approval of a device, provide the chief of the chemical test testing section with a list of all locations in Wisconsin where the device may be purchased, installed, removed, serviced, repaired, calibrated, inspected and monitored.

SECTION 38. Trans 313.04 (2) (b) 8. to 20. and (2)(c) are created to read?

Trans 313.04 (2) (b) 8. Indication of whether the manufacturer or manufacturer-approved authorized device repair facility, or both, will repair handsets and vehicle modules. If any device repair facilities are not directly operated by the manufacturer, the qualification and background requirements for repair technicians at those facilities must also be submitted to the department.

Trans 313.04 (2) (b) 9. Copies of all contracts, lease agreements, and other form documents used by the service providers to enter into a contractual relationship with a customer.

Trans 313.04 (2) (b) 10. A list of other jurisdictions in which the applicant applied for approval of the device in that jurisdiction and a statement as to whether the application was approved, denied, withdrawn, remains pending, or was subject to some other disposition. If the

application was denied, withdrawn, or subject to some other disposition, the applicant shall provide an explanation for that action on the application and copies of all documents from that jurisdiction documenting the decision in that jurisdiction and the reasons for that decision.

Trans 313.04 (2) (b) 11. A release authorizing any other jurisdiction to share with the department any and all tests or evaluations of the device, data derived therefrom, and all materials provided to the other jurisdiction in support of that other application.

Trans 313.04(2) (b) 12. A certificate of authority or registration, obtained from the Wisconsin Department of Financial Institutions, in accordance with ss. 180.1501 or 183.1004, Stats., or a copy of the articles of incorporation for any cooperative filed in accordance with s. 185.82, Stats., for all manufacturers, vendors and service providers anticipating to lease, sell, service, repair, install or uninstall the device in Wisconsin.

Trans 313.04 (2) (b) 13. All relevant manufacturer, vendor and service provider documents relating to the quality assurance of the calibration procedure and device verification checks.

Trans 313.04 (2) (b) 14. An affirmation, on the department's form, of all the following:

a. That the applicant will provide, at the written request of the state, or any prosecutor in this state, testimony that is sought in any civil or criminal proceeding or administrative hearing regarding the device, its manufacture, use, or function, its installation, repair or removal, interpretation of any recorded report or information from or about the device, or the performance of any duties required under this chapter, without cost to the state, county, municipality, town, police agency, or prosecutor.

Note: If allowed under judicial rules, local rule or order, this testimony may be provided through teleconferencing or an online remote process.

b. That the manufacturer will provide statewide service for the device in accordance with s. Trans 313.09(1).

Trans 313.04 (2) (b) 15. Contact information for its registered agent for service of process in this state. The applicant shall notify the department if its registered agent changes.

Trans 313.04 (2) (b) 16. The minimum qualifications for a manufacturer-approved authorized service center and training materials provided to or used by manufacturer-approved authorized service centers.

Trans 313.04 (2) (b) 17. If the device has previously been evaluated by the department, an explanation of all modifications made to the hardware and software of the device since that evaluation, a data supported explanation as to why the applicant believes that the modifications made to the device should result in the successful evaluation of the device, and any test results for the device the applicant has performed.

Note: This provision is intended to reduce waste by eliminating a requirement to reevaluate a device that failed testing previously, unless the applicant can explain and demonstrate with evidence the basis for believing the device will pass if retested.

- **18.** A document that clearly describes the manufacturer's or vendor's quality control process for resolving noncompliance with the requirements of this chapter by its service providers.
- **19.** An explanation of responsibility for responding to consumer questions and complaints, as required by s. Trans 313.10(2)(c).
 - **20.** Any other information required on the department's form.

Trans 313.04 (2) (c) An applicant shall respond to all the department's inquiries into any deficiency of the applicant's application in a timely manner. If an applicant fails to respond to and

remedy any application deficiency brought to its attention by the department within 90 days, the application is considered incomplete and the applicant shall restart the application process.

SECTION 53. Trans 313.04 (3) is amended to read:

Trans 313.04 (3) TIME FOR DECISION. The department shall approve or disapprove a device not later than 90 30 days after receipt of all required application materials and completion of all equipment testing.

SECTION 54. Trans 313.04 (3m) is created to read:

Trans 313.04 (3m) EVALUATION QUEUE.

- (a) Once the department receives an application with all the information required in sub.

 (2), the department shall place that application in an evaluation queue maintained by the department.
- (b) The department shall evaluate devices in the order its corresponding application is placed in the evaluation queue, except as provided in par. (d).
- (c) The department shall notify an applicant at the time its device reaches the point in the evaluation queue that the department is prepared to begin testing the device.
- (d) The department may require installation of devices under sub. (4)(b) by a prescribed deadline. Failure to install devices by that deadline may result in the device evaluation being delayed. If an applicant fails to install devices by any required deadline, the department may provide notice to the next applicant in the evaluation queue and evaluate that other device.

SECTION 55. Trans 313.04 (4) (a) is amended to read:

Trans 313.04 (4) (a) At least one model or type of each device The applicant shall be submitted by the manufacturer submit at least two devices, as specified in the application, to the

department for evaluation. The department may require different functions or features to be activated for the two devices.

SECTION 56. Trans 313.04 (4) (b) is amended to read:

Trans 313.04 (4) (b) The manufacturer applicant, or its agent on its behalf, shall install the device in a vehicle provided by the department. When applicable, the manufacturer applicant shall provide the department with an adequate supply of disposable mouthpieces with saliva traps.

SECTION 57. Trans 313.04 (4) (d) and (e) are created to read:

Trans 313.04 (4) (d) During the evaluation period, the applicant shall provide the department with a computer that has all software for the department to access test data from the device in near real-time or no later than 24-hours after a test is performed, or some other mechanism that provides that functionality.

Trans 313.04 (4) (e) If the department disapproves a device based on a failed evaluation, an application for the device may not be evaluated, nor placed in the evaluation queue under sub. (3m)(a), nor may the device be evaluated for 6 months. The department may waive this required 6-month period if the application is accompanied by all application materials specified in s. Trans 313.04(2)(b)17.

SECTION 59. Trans 313.04 (5) (title) is renumbered Trans 313.04 (5) (intro) and amended to read:

Trans 313.04 (5) (intro) STANDARDS AND SPECIFICATIONS. An applicant shall ensure that any device that it distributes for use in the state of Wisconsin meets all the following requirements:

SECTION 60. Trans 313.04 (5) (a) is amended to read:

Trans 313.04 (5) (a) MINIMUM FEDERAL STANDARDS FOR DEVICES. All devices shall meet or exceed the standards established by the U.S. department of transportation, national highway traffic safety administration, identified as "Model Specifications for Breath Alcohol Ignition Interlock Devices (BAIID)" 57 78 Fed. Reg. 67 89, pp. 11772-11787 26849-26867 (April 7, 1992 May 8, 2013). This federal standard is available from the Department of Transportation, Division of State Patrol, Chemical Test Section, 4802 Sheboygan Avenue, Room 551, Madison, WI 53707-7912.

SECTION 60m. Trans 313.04 (5) (a) (note) is created to read:

Trans 313.04 (5) (a) (note): This federal standard is available from the Department of Transportation, Division of State Patrol, Chemical Testing Section, 3502 Kinsman Blvd, Madison, WI, 53704-2549, or online at https://www.govinfo.gov/content/pkg/FR-2013-05-08/pdf/2013-10940.pdf.

SECTION 61. Trans 313.04 (5) (b) is repealed and recreated to read:

Trans 313.04 (5) (b) RETEST FEATURE. The device shall be programmed to have a retest feature. The retest feature shall require the driver to submit to a sample sequence no more than 5 minutes after the vehicle is made operable following a successful start sequence. The retest feature shall require additional subsequent tests at random intervals of 5 to 30 minutes until the vehicle ceases operation.

SECTION 62. Trans 313.04 (5) (bm) is created to read:

Trans 313.04 (5) (bm) FAILED RETESTS. The device's retest feature shall be programmed to include all of the following features:

- 1. ACTIVATION OF ALERT. A driver's failure to provide a breath sample that is less than the device's alcohol setpoint shall trigger a confirmation retest sequence, as described in subd.

 2. If the driver does not provide a passing breath sample in response to the confirmation retest, the device shall activate the device's alert mode until the earlier of the time the vehicle is shut off, or the time the driver provides a passing breath sample to the device.
- 2. CONFIRMATION RETEST. Upon activation of a confirmation retest sequence under subd. 1., a device shall wait two minutes and then commence a sample sequence. If no passing sample is provided as part of the sample sequence, the device shall activate its alert mode. Upon expiration of the sample sequence, the device shall resume normal retest intervals.

Note: If the vehicle is shut off before a passing breath sample is provided, a passing breath sample shall be required to restart the vehicle. See par. (j)2. and 3.

SECTION 63. Trans 313.04 (5) (c) is amended to read:

Trans 313.04 (5) (c) A <u>Testing Protocol Feature</u>. Whenever the device demands a breath sample, the device shall be programmed to allow a <u>maximum of 3 multiple</u> attempts, but no fewer than 3 attempts, to provide a breath sample within a 5-minute period, and to cause the vehicle to enter a permanent lockout condition when service is not completed within 7 days of a service reminder.

SECTION 64. Trans 313.04 (5) (ce), (ce) (note), (cm), and (cs) are created to read:

Trans 313.04 (5) (ce) *Start Sequence*. The device shall be programmed to allow the customer to operate the vehicle if the device records a passing breath sample during the start

sequence. If the device fails to record a passing breath sample in the start sequence, then the device shall prevent the customer from operating the vehicle.

Trans 313.04 (5) (ce) (note) Note: This paragraph does not require a time period to elapse between start sequences. "Temporary lockouts" that were required under prior law are eliminated.

Trans 313.04 (5) (cm) PERMANENT LOCKOUT FEATURE. The device shall be programmed to enter a permanent lockout condition when service is not completed within 7 days of a service reminder.

Note: Entering permanent lockout mode prevents initiation of a start sequence for the vehicle. See s. Trans 313.03(17).

Trans 313.04 (5) (cs) *VIOLATION RESET*. The device shall be programmed to have a violation reset feature that is triggered by any of the following:

- 1. Three violations.
- 2. Detection of one or more tampering or circumvention attempts.

SECTION 68. Trans 313.04 (5) (d) is amended to read:

Trans 313.04 (5) (d) (intro) <u>RECORDED DATA</u>. A device shall record data in its memory in such a manner <u>a format</u> that <u>allows the data to be wirelessly transmitted or a hard copy can be printed which and that includes all of the following information:</u>

SECTION 69. Trans 313.04 (5) (d) 2m. is created to read:

Trans 313.04 (5) (d) 2m. The date and time of any sample reported by the device.

SECTION 70. Trans 313.04 (5) (d) 3. is amended to read:

Trans 313.04 (5) (d) 3. The <u>result of any request for a sample made by the device</u> including, if a breath sample was provided, the date, time and alcohol concentration, in grams per 210 liters, of each breath sample provided to the device.

SECTION 71. Trans 313.04 (5) (d) 3m., 8., (dm), (dm) (note), and (e) (title) are created to read:

Trans 313.04 (5) (d) 3m. The date and time of any activation or deactivation of the device's alert mode.

Trans 313.04 (5) (d) 8. All images captured by the device, including the date and time those images were captured.

Trans 313.04 (5) (dm) ELECTRONIC TRANSFER OF DATA. The device shall provide a means of electronically transferring the data collected under par. (d) to the database described in sub. (6). Data related to violations shall be transmitted using near real-time reporting of violations via electronic transmission as provided in par. (m). Usage and other data shall be reported by means of a downloaded report of all required data fields downloaded from the device at time of service for the service interval preceding the service. All data shall be provided in the format specified by the department.

Trans 313.04 (5) (dm) (note) Note: Under s. Trans 313.07(1)(e), device approval for use in the state of Wisconsin may be revoked, suspended or denied if an entity fails to transmit data in the manner described in this paragraph.

Trans 313.04 (5) (e) *Information provided to customer.*

SECTION 76. Trans 313.04 (5) (e) 1. is repealed.

SECTION 77. Trans 313.04 (5) (e) 2. is amended to read:

Trans 313.04 (5) (e) 2. A reminder Reminder of the date for service, beginning 7 days prior to a scheduled service date as part of each start sequence.

SECTION 78. Trans 313.04 (5) (e) 3. (intro). is renumbered Trans 313.04(5)(e)3. and amended to read:

Trans 313.04 (5) (e) 3. A warning Reminder as part of each start sequence to obtain service within 7 by the seventh day following the date if any of the following conditions occur a violation reset is initiated.:

SECTION 79. Trans 313.04 (5) (e) 3. (note) is created to read:

Trans 313.04 (5) (e) 3. (note) Note: For the definition of 'violation reset' see s. Trans 313.03(27).

SECTION 79m. Trans 313.04 (5) (e) 3. a. to d. are repealed.

SECTION 80. Trans 313.04 (5) (e) 4., (f) to (n), (6), (6) (note), (7), and (8) are created to read:

Trans 313.04 (5) (e) 4. A qualitative result for each breath sample.

Note: An adequate qualitative result might indicate whether sample was a passing breath sample (see definition in s. Trans 313.03(16r)), a failed sample, a failure to provide a sample or an error. The result should indicate to the user why the device prevents operation of the vehicle, requires a confirmation test, enters alert mode or behaves in a manner inconsistent with behavior following a passing breath sample. Display of specific alcohol concentrations, quantitative results, is not permitted.

Trans 313.04 (5) (f) CAMERA FEATURE. A camera feature is required for all devices. It shall capture an image at the time the device user is providing a sample, or if no sample is provided, at test expiration. The image shall provide a clear and accurate image of persons seated

in a front seat of the vehicle and the entire front seat including any pass-through area to rear positions of the vehicle, so that it is possible to determine whether circumvention is being attempted or utilized. The device shall also capture clear and accurate images of the front seat area at random intervals while the vehicle is in operation and in all lighting conditions.

Trans 313.04 (5) (g) *Alcohol Specific Quantification Sensor.* The device shall use an alcohol-specific quantification sensor that provides an accurate and reliable measure of alcohol in the breath sample.

Trans 313.04(5) (h) BYPASS SWITCH. Except as provided in pars. (i) and (j), the device may not contain a bypass switch or mechanism that allows a person to bypass, circumvent or manually override the device in any manner, which would allow a person to operate the vehicle without providing a passing breath sample.

Trans 313.04(5) (h) (note) Note: Allowing a person to operate a vehicle using a lockout code in accordance with par. (i) or to restart a vehicle in accordance with par. (j) does not violate this requirement.

Trans 313.04(5) (i) LOCKOUT CODE FEATURE. The device may be programmed to allow a person to operate the vehicle by using a lockout code provided by the manufacturer, at its discretion, with the following requirements:

- 1. A lockout code may only be used to operate the vehicle once in any 60-day service interval.
 - 2. The lockout code is functional only when the device is in permanent lockout.
- 3. The lockout code is functional for one use only and expires no later than 24 hours after the manufacturer provides the lockout code to a person.

- 4. The lockout code shall enable the device to accept a breath sample, and if the vehicle is started, the device must operate in accordance with this section.
 - 5. The device shall revert to permanent lockout mode if the lockout code expires.
- 6. The device shall revert to permanent lockout mode if the lockout code is used, the vehicle is turned off and the restart period described in s. Trans 313.03(20) expires.

Trans 313.04(5)(j) RESTART FEATURE. The device shall be programmed to have a restart feature with the following functions:

- 1. If the vehicle stalls or is turned off following a successful test and before a retest is required under par. (b), the device shall permit the vehicle to restart without requiring a breath sample during the 2-minute period following the vehicle stalling or being turned off. The restart feature under this subd. may not alter the time interval required for the next retest.
- 2. If the most recently provided breath sample was above the alcohol setpoint, then the restart feature may not function until the customer provides a passing breath sample.
- 3. If the device has prompted the customer for a retest, then the restart feature may not function until the customer provides a passing breath sample.

Trans 313.04(5) (k) REMOVABLE HANDSET. A device that has a removable handset may be programmed to permit a customer to disconnect the handset of the device, when the vehicle is turned off, without triggering the device's tamper detection feature or causing the device to record a violation.

Trans 313.04(5) (L) DAYLIGHT SAVINGS FEATURE. The device shall be programmed to automatically adjust for daylight savings time.

Trans 313.04(5) (m) NEAR REAL-TIME REPORTING FEATURE. The device shall be programmed to have a near real-time reporting feature. Each time the device records a violation, the device must electronically report the violation. The report shall include the sample sequences that created the violation, the 10 preceding sample sequences, and a maximum of 10 subsequent sample sequences to the database established under sub. (6). The report shall be transmitted once the device is within range of Wi-Fi, cellular or satellite service or other wireless electronic transmission system the device is configured to utilize. Once a violation or preceding sample sequence has been reported, that violation or preceding sample sequence need not be re-reported with subsequent violation reports.

Trans 313.04(5) (n) SECURITY FEATURES. The device shall include all of the following security features:

- 1. A unique and easily identifiable tamperproof seal or connector on all wired connections used to install the device.
- 2. Connections to the vehicle that can be found under the dash or in an inconspicuous area of the vehicle.
 - 3. A unique and easily identifiable tamperproof seal on the vehicle module and handset.

Trans 313.04 (6) IGNITION INTERLOCK DATA SYSTEM.

- (a) The manufacturer or vendor shall establish a computerized database to store all information collected under sub. (5)(d).
- (b) Except as provided in par. (h), the manufacturer or vendor shall provide remote access to that database for all of the following:
 - 1. The department.
 - 2. Any law enforcement agency.

- 3. Assessment agencies.
- 4. Treatment providers.
- 5. Department of Corrections officials.
- 6. Probation and parole officials.
- 7. Courts.
- 8. Any university or research group engaged in a study approved by the department.
- (c) Except as provided in par. (h), the manufacturer or vendor shall design the system to permit the authorized users to login to a secure website and review or download the data stored under par. (a).
 - (d) Except as provided in par. (h), the manufacturer or vendor shall:
- 1. Make data available by device ID or serial number, by customer name, or by Wisconsin driver license number.
 - 2. Allow for sorting or filtering of data.
- 3. Make data available in its entirety for department-approved academic or department study purposes.
- (e) Except as provided in par. (h), the manufacturer or vendor shall make the system allow an authorized user to request alerts if a particular customer commits a violation. Alerts may be provided by electronic mail, text message, or other delivery service. Log-in to the system may be required to review digital images, digital videos, or confidential information related to a violation.
- (g) For research-related purposes, location data may be shared with an academic or research institution approved by the department under par. (b)8., provided that the research entity creates a mechanism to prevent disclosure of the location information to third parties, including law enforcement, and stores the data in a manner and for a purpose approved by the department.

(h) If the department establishes a centralized database for ignition interlock data, the department may require manufacturers and vendors to upload data collected under sub. (5)(d) and reported under sub. (5)(dm). Reporting shall be accomplished using a department defined data dictionary and, in a format, and in the frequency established by the department. Issuance of an order by the department under this paragraph and a manufacturer or vendor's uploading to the centralized database shall relieve the manufacturer or vendor from having to comply with pars. (b) to (e) of this subsection.

Trans 313.04(6) (note) Note: The reporting provisions of this subsection are intended to provide a mechanism by which ignition interlock providers can communicate effectively with law enforcement and the department as provided in s. 110.10(4) and (5), Stats.

Trans 313.04 (7) AUTHORIZED REPAIR FACILITIES.

- (a) A manufacturer may repair handsets, vehicle modules, other device components and auxiliary components that it manufactured.
 - (b) The manufacturer shall identify all repair facilities that it authorizes to conduct repairs.
- (c) The manufacturer shall provide evidence of the technical competence of repair facilities identified under par. (b).
 - (d) Technical competence of repair facilities is required for device approval.

Trans 313.04(8). EXERCISE OF RELEASE OF INFORMATION. If the department requests information regarding a device from another jurisdiction using a release provided under sub. (2)(b)11., the department shall notify the applicant of the fact that it is making the request and provide the manufacturer with an opportunity to identify any materials the manufacturer believes are confidential and to comment on the testing or evaluation conducted by the other jurisdiction.

SECTION 94. Trans 313.06 is amended to read:

Trans 313.06 LIST OF APPROVED DEVICES. A complete list of devices approved by the department shall be maintained by the chief of the chemical test testing section.

SECTION 95. Trans 313.07(1) is repealed and recreated to read:

Trans 313.07 (1) The department may deny, suspend or revoke approval of a device, and remove it from the list of approved devices, for any of the following reasons:

- (a) Defects in design, materials or workmanship causing repeated failures of a device.
- (b) Termination or cancellation of a manufacturer's or vendor's liability insurance.
- (c) The manufacturer discontinues the business of manufacturing devices.
- (d) Voluntary request by a manufacturer or vendor to cancel approval of a device.
- (e) Failure of a manufacturer, vendor, or service provider to comply with any of the provisions of this chapter or any Wisconsin Statute, any federal law, or the law of another jurisdiction if that failure in the other jurisdiction would have been a basis for denial, suspension or revocation of device approval in this state.
- (f) Provision of materially false or inaccurate information relating to a device's performance standards, by a manufacturer, vendor, or service provider.
- (g) Modification or alteration of the components, design or installation and operation instructions for an approved device without complying with the provisions of s. Trans 313.08(1) or in a manner that causes the device to not satisfy the requirements of this chapter.
- (h) Failure to provide service in an area assigned to a manufacturer, vendor or service provider under s. Trans 313.09(2).
- (i) Repeated failure to provide notice of a service center location change or mobile service center discontinuance in accordance with s. Trans 313.09(3) or (4).

- (j) Failure to maintain a system of manufacturer authorized repair or an inventory of parts needed to effect repairs or replacement of a model of device.
- (k) Failure to provide ignition interlock installation and service for one half the cost of equipping and maintaining the device upon receipt of a court order issued under s. 343.301(3)(b), Stats.
- (L) Failure to provide addresses or locations at which mobile service is to be provided. **SECTION 97. Trans 313.07 (2), (3), and (4) are amended to read:**

Trans 313.07 (2) A suspension or revocation is effective 15 days after notification is sent to the <u>registered agent of the</u> manufacturer, <u>vendor or service provider that applied for approval of the device under s. Trans 313.04 at the address provided pursuant to s. Trans 313.04(2)(b)15. or the address of the registered agent on file with the Department of Financial Institutions by certified mail.</u>

Trans 313.07 (3) A manufacturer or vendor may appeal a denial, suspension or revocation of approval for a device under sub. (1). This request The person appealing shall be submitted submit the request for appeal to the department, in writing, within 15 days of the receipt of a notice of denial, suspension or revocation.

Trans 313.07 (4) After denial, suspension, revocation or voluntary surrender of an approval, a manufacturer If approval of a device is denied, suspended, revoked or voluntarily surrendered, the applicant for device approval under s. Trans 313.04 shall be responsible for any costs connected with the removal of its devices from eustomer's customers' vehicles and the installation of a new device—devices in those customers' vehicles from the department's list of approved devices.

SECTION 100. Trans 313.08 is repealed and recreated to read:

Trans 313.08 Manufacturer Responsibilities.

- (1) MODIFICATIONS. A manufacturer shall meet all of the following requirements:
- (a) Notify the department in writing of any material modification in the components, design or installation and operating instructions of any device approved for use in this state. For purposes of this paragraph, a modification shall be considered material if the modification affects device operation or functionality.
- (b) Provide the department satisfactory proof that modifications or alterations for which notice is provided under par. (a) do not adversely affect the ability of the device to satisfy the requirements of s. Trans 313.04.
- (c) Upon the department's request, provide any new or updated materials related to quality assurance of the calibration procedure and device calibration checks provided under s. Trans 313.04(2)(b)13.
- (d) Upon the department's request, provide sample modified devices the department may inspect or test for continued acceptability under this chapter.
- (2) MANUFACTURER APPROVED REPAIR FACILITIES. A manufacturer shall provide for the repair of the internal components of handsets and vehicle modules through its own technicians or a manufacturer-approved authorized device repair facility.

Note: Manufacturer-approved authorized device repair facilities shall demonstrate the technical competence to repair handsets and vehicle modules as a condition of device approval. See s. Trans 313.04(2)(b)8.

(3) MOUTHPIECES. A manufacturer or vendor shall make an adequate supply of mouthpieces or other replaceable breath receptor devices available to a service provider.

- (4) ANTI-TAMPERING. A manufacturer shall ensure that a device has adequate electronic anti-tampering features which include all of the following:
- (a) A device shall retain its tamper detection capabilities when disconnected from the vehicle's power supply, or record that it was disconnected.
- (b) A device shall retain its data memory when disconnected from the vehicle's power supply.
- (c) When a device detects a condition that would be considered tampering, the device shall activate a visual and audible indicator.

Note: Detected tampering must be reported, and evidence retained under s. Trans 313.105(7).

(5) TRAINING.

- (a) For each device model a manufacturer or vendor seeks to have approved under s. Trans 313.04, upon the department's request, the device manufacturer or vendor shall provide a total of at least 10 hours of training to the department's employees at no cost to the State of Wisconsin. For each device that is approved by the department, upon the department's request, the device manufacturer or vendor shall provide ongoing training. The manufacturer or vendor shall hold training sessions at reasonable times and locations within the State designated by the department. The training shall familiarize the department's employees with the installation, operation, service, repair and removal of the devices and with the training and instructions that the manufacturer or vendor will give to service providers and customers. The manufacturer or vendor shall also provide the department, upon request, all materials intended for distribution to service providers or customers related to installation, operation, repair or removal of the device.
- (b) The manufacturer or vendor shall loan the department, free of charge, a demo unit for each approved device that department personnel may use for training staff, court officials, law

enforcement officers, or others. The manufacturer or vendor shall provide service for the loaned device free of charge at the chemical testing section Madison office or at the closest service center thereto.

- (c) The manufacturer or vendor shall develop device installation instructions for service provider use and share them with the department upon request.
- (6) DISABLING A LOCKOUT. A manufacturer or vendor may provide a lockout code for the purpose of disabling a lockout event in accordance with s. Trans 313.04(5)(i).

SECTION 101. Trans 313.09 is repealed and recreated to read:

Trans 313.09 Statewide Service.

- (1) In order to ensure that devices are available to persons in all areas of the state, as a condition of approval and use in the state of Wisconsin, each device must include an affirmation from the device manufacturer, vendor of the device, or a service provider that it will agree to take assignments to unserved areas of the state of Wisconsin in accordance with this section.
- (2) The department shall monitor the service center sites throughout Wisconsin. If the department determines that any place in Wisconsin is not within 75 miles of a fixed, permanent service center, the department shall randomly select one of the entities that made an affirmation under sub. (1) and require that entity to establish a service center or provide a mobile service center in the unserved area. If a second or subsequent area of Wisconsin is determined not to be within 75 miles of a fixed, permanent service center, the department shall randomly select an entity that made an affirmation under sub. (1) other than the ones selected previously and require that entity to establish a service center or provide a mobile service in the unserved area. If all entities that make affirmations under sub. (1) have been required to provide service centers under this subsection, the process of randomly selecting entities shall be repeated.

- (3) A manufacturer or vendor shall notify the department in writing at least 7 days before a fixed, permanent service center closes or a fixed, permanent service center moves to another address. In the event a fixed, permanent service center moves, the manufacturer or vendor shall provide the department with the address of the new fixed, permanent service center location or notice that service to the area of the fixed, permanent service center will be provided by a mobile service center at least 7 days before the scheduled service center move. In the event of an emergency, such as a flood, fire, riot, or weather-related event affects a service center, the manufacturer or vendor shall provide the department with notice of the effect of the emergency event on the service center and its plans for re-establishing service at that location or moving the service center to a new location as soon as reasonably possible.
- (4) A manufacturer or vendor shall provide the department with at least 7 days advance notice of discontinuing mobile service to any part of the state. Service may not be discontinued to any location assigned to an affirmant under sub. (2) unless the affirmant can show that a different entity is providing service in that area.

Note: Under s. Trans 313.07(1)(h), device approval for use in the state of Wisconsin may be revoked, suspended or denied if an entity that made a service provider affirmation under sub. (1) fails to provide reasonable service in an area assigned to the service provider under sub. (2).

SECTION 102. Trans 313.10 (1) (a), (b) (intro), and (b) 1. are amended to read:

Trans 313.10 (1) (a) A service center shall inspect <u>and calibrate</u> a device when it is installed to ensure that it is functioning properly, reliably and accurately. Self diagnostic features shall also be inspected.

Trans 313.10 (1) (b) (intro) Tamper inspections A service center shall be conducted conduct tamper inspections any time that a device is given routine inspection, maintenance or repair serviced. Tamper inspections shall include all of the following:

Trans 313.10 (1) (b) 1. Inspection of all external wiring insulation, connections, and sheathing for the device and locations where the device connects to the vehicle. A service provider shall retain relevant evidence, document and photograph any perforations, cuts or other indications of possible tampering.

SECTION 105. Trans 313.10 (1) (b) 1. (note) is created to read:

Trans 313.10 (1) (b) 1. (note). Note: Detected tampering must be reported and evidence retained under s. Trans 313.105(7).

SECTION 106. Trans 313.10 (1) (c) is created to read:

Trans 313.10 (1) (c) A service provider shall cause all of its service centers to have all the tools, test equipment and manuals needed to service devices and shall perform basic troubleshooting related to device installation and operation.

SECTION 107. Trans 313.10 (2) is repealed and recreated to read:

Trans 313.10 (2) SERVICE LOCATIONS AND HOURS. A manufacturer, vendor or service provider that makes an affirmation under s. Trans 313.09(1) shall provide the following minimum service:

(a) Repair or replace the device within 3 days after service is requested.

- (b) A reasonable number of service centers within the state. If a service provider has a fixed, permanent service center, it may install and service devices using mobile service centers in this state in accordance with s. Trans 313.10(11). Service centers must establish and maintain reasonable business hours.
- (c) A 24-hour toll-free telephone number to answer questions, and to deal with mechanical problems and emergencies related to the device.

Note: Nothing in this par. is intended to prohibit a manufacturer, vendor or service provider from providing additional alternative communication mechanisms. Explanation of responsibility for responding to telephone inquiries and other communications is required as part of an application filed under s. Trans 313.04(2)(b)20.

SECTION 108. Trans 313.10 (3) (e) is repealed and recreated to read:

Trans 313.10 (3) (e) A service provider may not access or alter the internal components of a handset or vehicle module.

SECTION 109. Trans 313.10 (3) (e) (note) is created to read:

Trans 313.10 (3) (e) (note) Note: Internal repairs of handsets and vehicle modules are performed by the manufacturer or a approved manufacturer-approved authorized device repair facility.

SECTION 110. Trans 313.10 (4) is amended to read:

Trans 313.10 (4) INSTALLATION. A service provider center shall comply with all of the following installation service requirements:

(a) Installation of a device must be completed within 30 10 days of a court order or a customer request, whichever is later unless the customer requests scheduling installation at a later

date or fails to provide the service center with access to the vehicle as scheduled within the 10-day period.

- (b) A service provider center shall inspect each vehicle before installing the device. The inspection must include screening procedures to ensure the vehicle in which the device is to be installed is in a mechanical and electrical condition that will allow the device to meet the specifications in s. Trans 313.04 (5). Conditions that the manufacturer has determined would prevent the device from meeting the specifications of s. Trans 313.04 must be repaired before the device is installed. The customer shall be responsible for all the costs of any repairs to the vehicle.
- (c) After a device is installed, the <u>service center shall inspect the</u> vehicle and device shall be inspected to ensure that the installation was performed properly and that it does not interfere with the normal operation of the vehicle.
- (d) A service provider center shall may provide a certificate of installation or removal to the customer. The customer shall present a copy of this certificate to the department as a condition for obtaining a license, when required by law. The service provider shall provide a copy of this certificate to the sheriff of the county where the customer resides. The certificate shall be in the format provided by DMV.
- (e) A service center is not required to install devices on the following types of motor vehicles:
 - 1. Motorcycles or autocycles.
 - 2. Commercial motor vehicles.
 - 3. Vehicles for which installation instructions for ignition interlock devices do not exist.

Note: A vehicle's inclusion in this paragraph is not a determination as to whether a device is capable of being installed on a vehicle. See s. 343.301(1m)(b), Stats.

SECTION 112. Trans 313.10 (4) (d) and (note) are repealed.

SECTION 114. Trans 313.10 (5) (b), (c), and (6) (b) are amended to read:

Trans 313.10 (5) (b) All devices shall be scheduled for service <u>and calibration</u> at intervals not to exceed 60 days. Any failure to report for of a customer to obtain required servicing service <u>or calibration</u> shall be reported to the <u>sheriff of the county where the customer resides department</u> in the manner prescribed by the department.

Trans 313.10 (5) (c) Each time a device is serviced, the service provider center shall review the extract the data recorded in the device's memory and retain, and transmit a copy of the data to the manufacturer for retention in the customer's file and reporting to the department. Any tampering, circumvention, bypass or violation resets shall be immediately reported to the sheriff in the county where the customer resides.

Trans 313.10 (6) (b) A certificate service provider, vendor or manufacturer shall transmit proof of installation or removal shall be mailed by a service provider to the DMV compliance and restoration unit and to the sheriff of the county where the customer resides, department in the manner prescribed by the department within 3 working business days after the installation or removal of a device.

SECTION 117. Trans 313.10 (6) (b) (note) is repealed.

SECTION 118. Trans 313.10 (7) is amended to read:

Trans 313.10 (7) TRAINING. A service provider center shall provide an orientation to the ignition interlock device only to the driver and any family members or others with an ownership interest in the vehicle who may drive the vehicle. The orientation service center shall include information on all service locations, procedures for regular servicing and emergency situations,

and a minimum of two successful start sequences performed by the customer in the vehicle as part of the orientation.

SECTION 119. Trans 313.10 (8) is amended to read:

Trans 313.10 (8) MOUTHPIECES. A service provider shall supply an adequate number of mouthpieces, breath receptors or other sample components to the customer upon initial installation and each time the device is serviced.

SECTION 120. Trans 313.10 (9) is renumbered Trans 313.10 (9)(a).

SECTION 121. Trans 313.10 (9) (b), (10), (11), and (12) are created to read:

Trans 313.10 (9) (b) The department may attend service calls made by a service center, may inspect service centers, and may audit work performed by service centers.

Trans 313.10 (10) CALIBRATION.

- (a) A person shall calibrate a device using the calibration procedure for that device established by the manufacturer and approved by the department.
 - (b) No person may use a wet bath simulator for the calibration of any device.
- (c) Calibration shall ensure that the device accurately measures alcohol concentration. A device shall be considered properly calibrated if it measures a dry gas sample at or below 0.050 g/210L within a range of \pm 0.005 g/210L.

Trans 313.10 (11) Mobile Service Centers.

- (a) All provisions of this chapter pertaining to service centers are applicable to mobile service centers.
- (b) Service centers shall provide the department with a schedule for installation and calibration mobile services 24 hours before the services occur in a manner prescribed by the department. The service center shall cause the schedule to contain the name of the customer, the

name of the manufacturer or vendor, the name of the service center, the location where the service will occur, and the anticipated date and time of the service. Emergency service may be provided to a customer for lockout, device or vehicle malfunction issues without advance notice under this paragraph.

(c) All mobile service centers shall be associated with a fixed, permanent service center.

SECTION 123m. Trans 313.10 (12) Consumer Disclosure. Any agreement, contract, or other document presented to a person to authorize installation of a device on a vehicle shall include all of the following information in no less than 12-point type on the front page of the documents:

- 1. Cost of installation of the device in the vehicle.
- 2. Monthly maintenance costs for the device.
- 3. A description of any additional charges that may be made to the person including charges for mouthpieces, breath receptors or other sample components, if any, violation reset, maintenance, device insurance, reporting, or device calibration.
- 4. The cost, if any, to be charged to person if the service provider that installs the device subsequently removes the device.

SECTION 124. Trans 313.105 is created to read:

Trans 313.105 Shared responsibilities.

- (1) CALIBRATION. A manufacturer, vendor or service provider shall follow the procedures approved by the department to calibrate a device.
- (2) ERASING DATA. A manufacturer, vendor or service provider shall follow the procedures approved by the department to erase any data from a device, including erasing all data from a device that is removed from a vehicle in preparation for reuse in a different vehicle.

(3) DEVICE CONFIGURATION. A manufacturer or vendor may load approved Wisconsin device configuration files onto an approved device.

(4) WARNING LABEL.

(a) A manufacturer or vendor shall cause a warning label, approved by the department, to be affixed to all approved devices installed in vehicles in a manner that will be conspicuous to any person using the device. The warning label shall contain the following information: "WARNING"—Any person removing, tampering with, disconnecting or otherwise circumventing this device may be fined up to \$2500 or imprisoned for up to 12 months, or both. ss. 343.44(2)(ar)2., 347.50, Stats." No other information may be included on the label.

Note: Maximum penalties for violation of an occupational license restriction under ss. 343.10(5)(a)3. and 343.44(2)(ar)2., Stats., are shown on the label. Lesser penalties apply to violations of s. 347.413(1) under s. 347.50(1s), Stats. See s. 347.413(3), Stats.

- (b) The label may be printed in any of the following formats:
- 1. 1" x 2" in size and printed in an 8-point sans serif font such as Helvetica, Calibri or Arial.
- 2. 1" x 2.5" in size and printed in a 9-point sans serif font such as Helvetica, Calibri or Arial.
- 3. A custom warning label format, other than the format described in subd. 1. or 2., only if the custom warning label format is approved by the department and will provide users with clear notice of the information described in par. (a).
 - (c) The custom warning label design must meet all of the following requirements:
 - 1. Contain the language described in sub. (a).

- 2. Attach to the handset in a manner that makes tampering readily observable using an adhesive and label material that makes damage to the label likely in the event of an attempt to remove it.
 - 3. Be weatherproof.
 - 4. Be water and solvent resistant.
 - 5. Be conspicuous.
 - 6. Contain a yellow background and black print.
 - (5) SERVICE PROVIDER QUALITY CONTROL PROCESS.
- (a) A manufacturer or vendor shall have a quality control process for resolving service provider noncompliance with the requirements this chapter.
- (b) The manufacturer or vendor shall provide a means to receive complaints from customers regarding service provider noncompliance and a means for the department to refer complaints it receives from customers to the manufacturer or vendor.
- (c) If a manufacturer or vendor receives a complaint directly from a customer, the manufacturer or vendor shall notify the department of the complaint.
- (d) For each complaint the manufacturer or vendor receives relating to noncompliance by its service providers, the manufacturer or vendor shall resolve the complaint within 30 days and provide the department with an explanation as to how the complaint was resolved.
- (6) EVIDENCE RETENTION AND TAMPER REPORTING. If tampering, circumvention or bypass attempts are detected, the service provider, vendor, manufacturer, or manufacturer-authorized device repair facility that detects the occurrence shall do all of the following:

- (a) Retain relevant evidence, including the device, wires, seals, or other equipment, that demonstrates the tampering, circumvention or bypass attempt and location data related to the offense, if possible, for 30 days unless released to a law enforcement agency as evidence.
- (b) Document and photograph any perforations, cuts or other indications of possible tampering, circumvention or bypass attempts and retain that information for two years.
- (c) Retain any evidence of unauthorized changes made to the software or operating system of the device for two years.
- (d) Immediately report the tampering, circumvention, or bypass attempt event to the department in the manner prescribed by the department, to the sheriff in the violator's county of residence, and to the sheriff in the county in which the violation occurred if that location can be determined from device location data or other information.
- (7) VIOLATION RESET REPORTING. A manufacturer, vendor, or service provider shall immediately report any violation reset to the department in the manner prescribed by the department.
- (8) TESTIMONY. A manufacturer, vendor, or service provider shall provide at no cost to the State any testimony requested by the State or any prosecutor in this State, described in the affirmation made pursuant to s. Trans 313.04(2)(b)14.

SECTION 125. Trans 313.11 is repealed.

SECTION 126. Trans 313.12 is amended to read:

Trans 313.12 List of authorized service providers centers. A manufacturer or vendor shall provide the department with a list of all service providers centers in Wisconsin who are authorized by the manufacturer or vendor to install, service, repair and or remove the manufacturer's or vendor's devices. The manufacturer or vendor shall cause the list to clearly state the price charged by the service center for installation, maintenance, calibration, violation reset service, and removal of the device, and shall disclose any additional fees or charges that may be imposed on the customer, including all fees described in s. Trans 313.10(12). If insurance for the device is made available to the customer, the list shall include the cost to replace the device and the monthly cost for the insurance. The department shall make this list available to all Wisconsin courts and to the public on its internet website. The courts shall make this list available to customers.

SECTION 127. Trans 313.13 (1) (intro) is amended to read:

Trans 313.13 (1) (intro) Notice The clerk of court or a court shall report the issuance of all any court orders order requiring the installation and use of a device shall be reported by the clerk of court to the department in one of the following ways:

Note: The conviction report is DOC-20.

SECTION 127m. Trans 313.13 (1) (c) (note) is repealed.

SECTION 128. Trans 313.13 (2) is renumbered Trans 313.13 (2) (a) and amended to read:

Trans 313.13 (2) (a) All court orders requiring the installation and use of a device <u>on one</u> <u>or more vehicles</u> shall include the beginning and ending dates for the restriction, and shall identify each vehicle <u>requiring installation of a device to which the order applies</u>.

SECTION 129. Trans 313.13 (2) (b) and (b) (note) are created to read:

Trans 313.13 (2) (b) All orders imposing an ignition interlock restriction on a person's operating privilege shall include the date on which the order was issued and the length of time that the ignition interlock restriction shall be effective, consistent with the requirements of ch. 343, Stats.

Trans 313.13 (2) (b) (note) Note: The length of the ignition interlock device restriction periods for vehicles and operating privileges are set in s. 343.301(2m)(a) and (b), Stats. Operating privilege restrictions extend from the date of the order for a period of time that extends from the date the person next obtains a license from the department to a date in the future. The length of the restriction period, from the date the driver obtains a license from DMV, is determined by the court, and is not less than one year nor longer than the maximum revocation period resulting from the offense. The maximum periods of revocation that can be ordered as a result of an alcohol-related driving offense are set forth in ss. 343.30(1q)(b), 343.31(2) and 343.31(1m), Stats. Ignition interlock device operating privilege restriction periods may be extended. s. 347.50(1t), Stats.

SECTION 131. Trans 313.13 (3) is amended to read:

Trans 313.13 (3) When the department is notified by a court that a device has been ordered as a condition of licensing, the department shall place a restriction on the customer's driver record, requiring that the customer operate only vehicles equipped with a device. This restriction will appear on any license issued to the customer during the restriction period.

SECTION 132. Trans 313.13 (4) is amended to read:

Trans 313.13 (4) A court shall notify a customer that who has been ordered to install a device shall be installed in the customer's a vehicle, and that proof of installation shall be provided provide proof of installation to the department before a the customer may apply for be issued an operator or occupational license. A service provider may provide this proof on the customer's behalf in accordance with s. Trans 313.10(4)(d).

SECTION 132m. Trans 313.14 (1) is amended to read:

Trans 313.14 (1) No <u>customer or person other than a service provider may remove a device</u> from a vehicle before the restriction period has elapsed, unless the customer surrenders to the department all operator and occupational licenses in his or her possession.

SECTION 133. Trans 313.14 (2) is repealed and recreated to read:

Trans 313.14 (2) No service provider may remove a device from a vehicle before the restriction period has elapsed, unless the customer does one of the following:

- (a) Requests that the device be removed from the vehicle.
- (b) Intentionally damages the device.
- (c) Fails to pay for installation, service or calibration of the device, or other charges assessed to the person and authorized under this chapter.
- (d) Behaves with an offensive or abusive personality toward manufacturer, vendor or service provider personnel.
 - (e) Fails to comply with a contract with the manufacturer, vendor or service provider.
- (f) Remove the device to preserve evidence or tampering or circumvention in accordance with s. Trans 313.105(6).

SECTION 134. Trans 313.14 (2) (note) and (3) are created to read:

Trans 313.14 (2) (note) Note: If a service provider removes a device, it is required to

promptly notify DMV so that appropriate license actions may be taken. See s. Trans 313.10(6)(b).

Trans 313.14 (3) A service provider may not charge more than its ordinary and reasonable

time and materials charges for removing a device.

SECTION 136. Trans 313.15 is amended to read:

Trans 313.15 Audit authority. The department may audit and inspect the facilities and

records of a manufacturer, vendor or service provider, including mobile service locations, to verify

compliance with the requirements of this chapter. Manufacturers, vendors and service providers

shall retain all records relating to an application for approval, installation, service, removal and

use of a device, for at least 3 years, and shall make these records available to the department for

inspection and copying upon request.

SECTION 137. Trans 313.16 and 313.17 are created to read:

Trans 313.16 Other prohibited conduct.

(1) NONDISCRIMINATION. No manufacturer, vendor or service provider may

discriminate for or against a person by reason of sex, race, creed, color, sexual orientation, national

origin or ancestry, gender, gender expression, age, disability, marital status, or military status in

any of its activities or operations.

Note: See s. 227.10(3)(a), Stats.

(2) DEEP LUNG AIR REQUIREMENT. No person, manufacturer, vendor or service

provider may modify, alter, or adjust the settings or programming of any device so as to require

less than 1.5 liters of air per breath sample.

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Note: Reducing the volume of air required to use the device is not considered a reasonable accommodation of any disability because a sample of that size is required for the device to reliably and properly perform its intended function of measuring alcohol concentration.

- (3) ALTERATION OF SETTINGS OR PROGRAMMING. No person may modify, alter, or adjust the settings or programming of any device, except as provided in s. Trans 313.105(3).
- (4) REPAIRS. No person may repair handsets or vehicle modules unless it is a manufacturer or is a manufacturer-approved authorized device repair facility.
- (5) ADVICE LEADING TO LOCKOUT MODE. No manufacturer, vendor or service provider may advise or counsel a person to violate any law or take any action that will result in a device entering a lockout mode or needing service.
- (6) MOTORCYCLE AND MOPED PROHIBITION. No person may install a device on a motorcycle or moped in this state.

Note: Section 343.301(1m)(b), Stats. requires courts to exempt vehicles for which the department has not approved an ignition interlock device that is capable of being installed on the vehicle from any ignition interlock order is issues under 343.301(1g), Stats. The department has not approved any devices for use on motorcycles because of the safety hazard use of the device would present to the operator. This provision prevents installation on motorcycles in this state, but does not prohibit the use of motorcycles in this state on which IIDs have been installed in other jurisdictions.

Trans 313.17. Ignition Interlock Emergencies.

The Secretary of the Department of Transportation may declare an ignition interlock emergency if a natural disaster, epidemic, or other emergency arises that affects the ability of manufacturers, vendors and service providers to service and install ignition interlock devices in this state. The Secretary may, by such order, extend any of the deadlines or time limits established in this chapter or waive any of the requirements of this chapter for a period not to exceed six months.

Note: In the event longer periods of exemption or waiver are required, they can be accomplished through emergency rulemaking procedures set forth in s. 227.24, Stats.

SECTION 137r. INITIAL APPLICABILITY.

- (a) Devices that have install period of 1 year or less at the time that the rule goes into effect need not be changed or upgraded.
- (b) Devices that have an install period of more than 1 year remaining as of the effective date of the rule must be upgraded within 1 year of the effective date of the rule.

SECTION 138. EFFECTIVE DATE. This rule shall take effect on the first day of the month following publication in the Wisconsin Administrative Register as provided in s. 227.22 (2) (intro.), Stats.

(END OF RULE TEXT)

Signed this day of 2021.	
Craig Thompson	
Secretary	
State of Wisconsin Department of Transportation	