STATE OF WISCONSIN
DEPARTMENT OF ADMINISTRATION
DOA-2049 (R09/2016)

DIVISION OF EXECUTIVE BUDGET AND FINANCE
101 EAST WILSON STREET, 10TH FLOOR
P.O. BOX 7864
MADISON, WI 53707-7864
FAX: (608) 267-0372

ADMINISTRATIVE RULES
Fiscal Estimate & Economic Impact Analysis

1. Type of Estimate and Analysis ☒ Original ☐ Updated ☐ Corrected	2. Date September 23, 2019

3. Administrative Rule Chapter, Title and Number (and Clearinghouse Number if applicable)
ETF 10, 11, 20, 60, and 70

4. Subject
Technical updates to four ETF chapters in order to correct obsolete language and make ETF rules consistent with recent changes in Wisconsin law.

5. Fund Sources Affected ☐ GPR ☐ FED ☐ PRO ☐ PRS ☐ SEG ☐ SEG-S	6. Chapter 20, Stats. Appropriations Affected

7. Fiscal Effect of Implementing the Rule
☒ No Fiscal Effect   ☐ Increase Existing Revenues   ☐ Increase Costs   ☐ Decrease Costs
☐ Indeterminate   ☐ Decrease Existing Revenues   ☐ Could Absorb Within Agency's Budget

8. The Rule Will Impact the Following (Check All That Apply)
☐ State's Economy   ☐ Specific Businesses/Sectors
☐ Local Government Units   ☐ Public Utility Rate Payers
☐ Small Businesses **(if checked, complete Attachment A)**

9. Estimate of Implementation and Compliance to Businesses, Local Governmental Units and Individuals, per s. 227.137(3)(b)(1).
$0.00

10. Would Implementation and Compliance Costs Businesses, Local Governmental Units and Individuals Be $10 Million or more Over Any 2-year Period, per s. 227.137(3)(b)(2)?
☐ Yes ☒ No

11. Policy Problem Addressed by the Rule
The purpose of this rule is to make technical updates to ETF rules by removing obsolete language, adjusting language to account for changes in Wisconsin law, and correcting references to repealed or renumbered statutes. This consists of changes to ETF 10, 20, and 60 to account for the changes in Wisconsin law regarding the availability of Domestic Partnerships. Additionally, changes to ETF 10 and 20 address recent changes to Wisconsin law regarding break in service and service purchases, Wisconsin circuit courts' e-filing initiative, and Qualified Domestic Relations Orders more than 20 years old. Amendments to ETF 11 seek to bring the code up to date with current ETF practices. The rule proposes changes to ETF 11 and 20 to correct links that currently link to renumbered or repealed statutes and references to repealed, amended, or renumbered statutes. Amendments to ETF 70 modify the requirement to evaluate the third-party administrator of the Wisconsin Deferred Compensation Program from annually to biennially to make the code consistent with modern auditing practices and make changes to the Wisconsin Deferred Compensation Program investment product termination process.

12. Summary of the Businesses, Business Sectors, Associations Representing Business, Local Governmental Units, and Individuals that may be Affected by the Proposed Rule that were Contacted for Comments.
Information, including the proposed rule language, will be made available by posting on the ETF website and the Wisconsin administrative rules website and by submitting the information to the Governor's Office of Regulatory Compliance.

13. Identify the Local Governmental Units that Participated in the Development of this EIA.
None.

14. Summary of Rule's Economic and Fiscal Impact on Specific Businesses, Business Sectors, Public Utility Rate Payers, Local Governmental Units and the State's Economy as a Whole (Include Implementation and Compliance Costs Expected to be Incurred)
No substantive impact is anticipated.

15. Benefits of Implementing the Rule and Alternative(s) to Implementing the Rule

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Implementation of the Rule will update ETF administrative code provisions to be consistent with recent programatic changes. This will enhance clarity and minimize confusion for the general public and public employers.

16. Long Range Implications of Implementing the Rule

Implementation will bring the affected ETF rules into compliance with recent programatic changes, update affected ETF rules to reflect current administrative practices and delete obsolete language from ETF rules.

17. Compare With Approaches Being Used by Federal Government

Not applicable.

18. Compare With Approaches Being Used by Neighboring States (Illinois, Iowa, Michigan and Minnesota)

Periodically, retirement systems in adjacent states promulgate technical rules to update existing administrative rules.

19. Contact Name	20. Contact Phone Number
David Nispel	(608) 264-6936

This document can be made available in alternate formats to individuals with disabilities upon request.

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ATTACHMENT A

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1. Summary of Rule's Economic and Fiscal Impact on Small Businesses (Separately for each Small Business Sector, Include Implementation and Compliance Costs Expected to be Incurred)

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2. Summary of the data sources used to measure the Rule's impact on Small Businesses

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3. Did the agency consider the following methods to reduce the impact of the Rule on Small Businesses?

☐ Less Stringent Compliance or Reporting Requirements
☐ Less Stringent Schedules or Deadlines for Compliance or Reporting
☐ Consolidation or Simplification of Reporting Requirements
☐ Establishment of performance standards in lieu of Design or Operational Standards
☐ Exemption of Small Businesses from some or all requirements
☐ Other, describe:

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4. Describe the methods incorporated into the Rule that will reduce its impact on Small Businesses

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5. Describe the Rule's Enforcement Provisions

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6. Did the Agency prepare a Cost Benefit Analysis (if Yes, attach to form)
☐ Yes   ☐ No